# **CLEAT:**

#### A CLassification, Enhancement and Analysis Toolkit for Heterogeneous Document Image Collections



## LAMP History

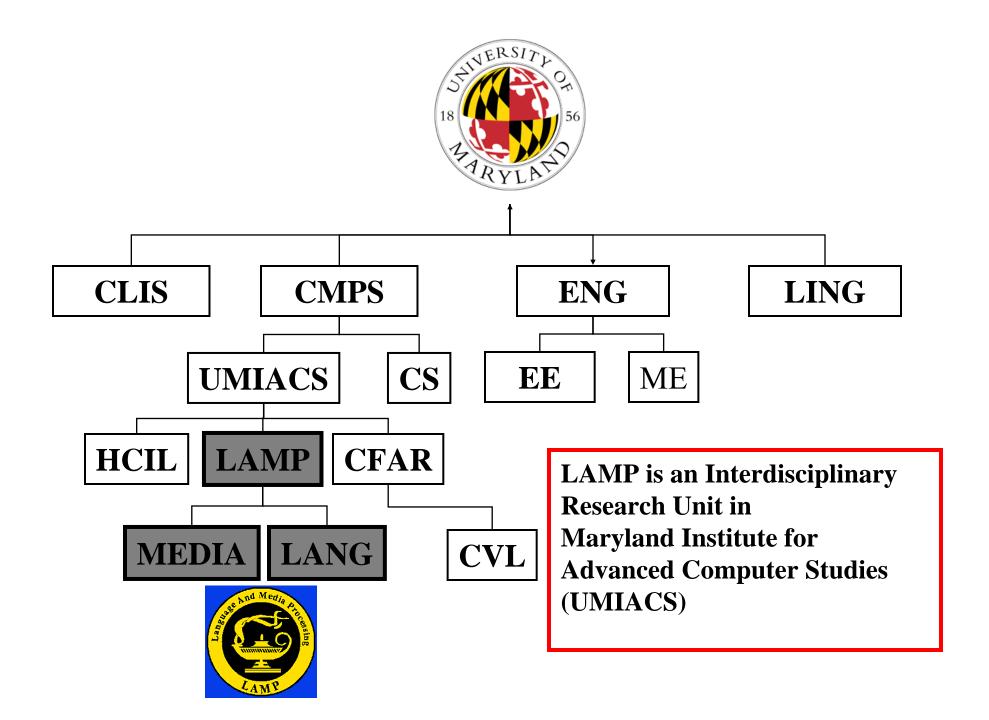
- Began in 1996 with a focus on documents
- Produced 9 PhD (2 more expected in 2007)
- Over 200 scientific publications
- Almost 50 Students (Undergrad-Graduate)
- Numerous Technology Transfer Opportunities



#### Mission

To conduct research and education in analysis and processing of multimedia information sources including documents, images and video, to develop natural language tools for real world applications, and to foster collaboration in these areas between researchers at the university and representatives of government agencies and industry





#### **Research Focal Areas**

- Document image analysis
  - Providing fundamental tools for the enhancement summarization, navigation, indexing and retrieval in document image databases
- Content based video analysis
  - Providing access to video content through extraction, structure representation, classification, visualization and indexing
- In General
  - Ability to access large heterogeneous collections of material
  - Adaptable systems OCR, MT
  - Low density to resource poor languages
  - Enhancing low quality input document images, OCR



### Outreach

- Bi-Annual SDIUT Conference
  - Soon to be included in Google Books Project
- Host of workshops and short courses
- Editorial Office of IJDAR
- Data Collection and Evaluations
- LAMP Seminar Series
- Chairing Program Committee for ICDAR 2007
- Organizing Arabic OCR competition at ICDAR'07



#### Schedule

- Tuesday
  - AM: Project Overview and Status
  - PM: Logo Detection/Recognition
- Wednesday
  - AM: Font OCR, Word Level ScriptID
     Vision Related Research
  - PM: Review and Feedback



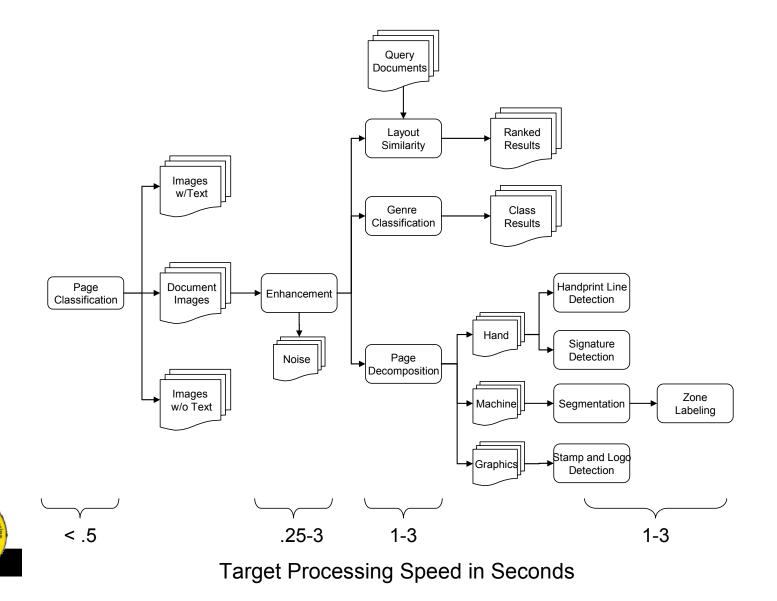
#### Agenda Tuesday AM



- Project Overview
  - Introduction
  - Goals and Objectives
- Tools
  - GEDI Display Environment
  - Datasets
- DocLib and Algorithms
  - Technical Presentations



#### **Project Overview**



## **Task Objectives**

- Task 1:Data Collection
- Task 2:Ground Truthing
- Task 3:Evaluation Framework
- Task 4:Evaluation and Visualization Tool
- Task 5:Page Classification Module
- Task 6:Enhancement Module
- Task 7: Layout Analysis Module
- Task 8: Content Labeling module

Task 9:	Evaluation
Task 10:	Training



#### **Performance Goals**

Task	Performance Goal
Page Classification	80% precision across all three classes
Enhancement	10-30% increase in accuracy of downstream processes – segmentation, detection
Layer Separation	90% coverage at the pixel level
Segmentation (Print and Hand)	85% using implementation of existing methods
Logo and Stamp Detection	75% precision at 85% recall
Signature Detection	75% precision at 85% recall



#### Phase I – March 2007

- Delivered complete CLEAT data collection.
- Provide ground truth for subset of data including signatures, stamps, logos, handwritten, and machine printed text.
- Provide document describing evaluation framework.



## Phase II – July 2007

- Deliver completed ground truthing and visualization tool for CLEAT metadata.
- Deliver Prototype version of CLEAT Software API Modules:
  - Document Image Enhancement,
  - Document Text/Image Text/Non-Text Discrimination,
  - Page Layout Similarity Ranking on CLEAT data,
  - Page Layer Segmentation and Zone Labeling, and
  - Content Labeling of Signatures, annotations, Stamps and Logos.
- Provide results of CLEAT API run on CLEAT datasets.
- Provide preliminary evaluation report.
- Provide basic API documentation



## Phase III

- Deliver Final version of CLEAT API.
- Provide training on use of CLEAT.
- Provide complete evaluation results on CLEAT data.
- Provide complete documentation of API.
- Provide feasibility report for system extensions.
- Provide a list of publications generated and planned as a result of this effort.



## WWW

- lamp.cfar.umd.edu/media/projects/cleat
- Contains
  - Summary
  - Proposal
  - Reports
  - Presentations
  - Milestones and Deliverables
  - Software
  - Data

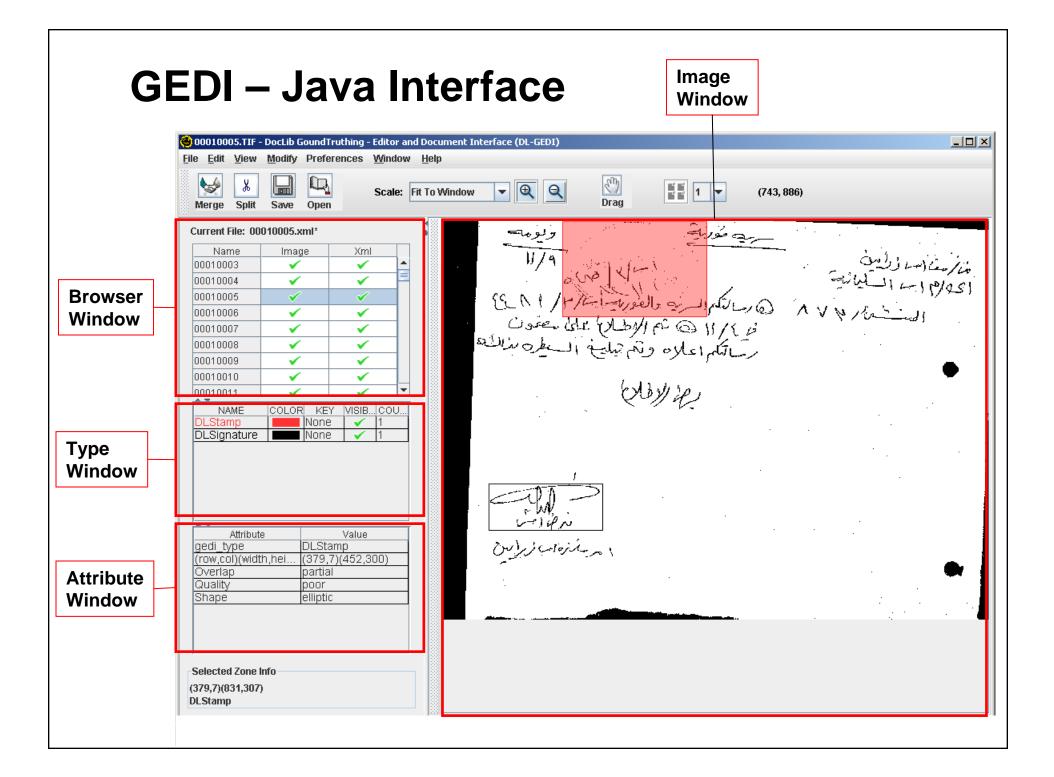


## Agenda Tuesday AM



- · Project Overview
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  - GEDI Display Environment
  - Datasets
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#### **GEDI** Features

- allows users to label and display rectangular zones in images
- supports user specified zone types
- handles type-specific attribute lists
- offers a graphical interface for editing and displaying zones
- enables users to create and distribute configuration files
- provides hotkeys for faster labeling
- can list multiple images in thumbnail views



saves ground-truth and metadata as XML (compatible with DocLib)

## **New Features**



- Polygon and Oriented Boxes
- Scripting
- Text Alignment
- Multilingual support
- Additional Function Keys
- Bug Fixes



#### **Data Collection**

**Datasets and Ground Truth –** A dataset containing examples of each class of document we process will be included. The dataset will contain a minimum of 5000 documents and be collected from a variety of sources, including the internet, existing training and testing datasets, public collections, project collections, and scanning. All ground truth will be provided in GEDI format and accompany the images



#### Data Collection and Evaluation

Туре	Number
Class 1: Traditional Document Images	9000
Class 2: Camera captured, Text in Scene, and Color documents	500
Class 3: Non-document Images	500
Genre	Number
Forms, Drawing, Tables	1000
Business Documents, Memos, Letters	2500
Journal and Conference Papers, Articles	2500
Newsletters, Flyers	1000
Structured Documents – phone books, dictionaries	1000
Handwritten	1000
Foreign Language – handwritten and machine printed	1000
Highly Degraded	500
Mixed Annotation	2000



## **Document Image Acquisition**

- Sampling of Existing Databases
   20-25%
- Google Image Search
   60%
- Scanning hardcopy Document Images
  - 15-20%



#### **Document Genres**

Japanese

Korean

Thai

Hindi

Genre	
Forms, Drawing, Tables et at.	
Forms	650
Drawing	80
Tables	100
Chemistry formulae	25
Math equations Figures Total	165 40 1060

Business documents and Memo letters
Business documents
Business documents degraded
Business documents with annotations
Memo letters

Journal and Conference Papers, Articles	
English	2785
German	360
Japanese	480
Total	3625

	Newsletters and Flyers	
	Google images	2400
650		
80		
100	Structured Documents	
25	Phonebook	229
	Dictionaries (Chinese English, English	
165	Chinese)	1150
40	Yellowpage	80
1060	Total	1459
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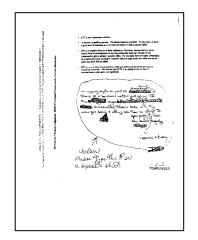
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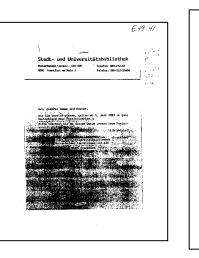
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Figure	
Good	240
Medium	755
Low	548
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Form	
Good	66
Medium	69
Low	32
Letter-Memo	
Good	55
Medium	88
Low	31
LOW	51
LIST	
Good	6
Medium	34
Low	11

	Newspaper	
	Good	22
240	Medium	37
755	Low	17
548		
	Publication Cover	
	Good	130
66	Medium	425
69	Low	128
32		
	Receipt	
	Good	10
55	Medium	50
88	Low	20
31		
	Screenshot	
	Good	184
6	Medium	848
34	Low	566
11		
	Table	
	Good	52
	Medium	124
	Low	42



## Maryland Datasets

- Collection of Free form Handwriting
  - Paid upto \$1 for pages of native handwriting
  - Languages: Arabic, Amharic, Chinese,
     Korean, Japanese, Greek, Cyrillic, Hebrew,
     Thai, Burmese, and Hindi
  - Up to 1000 pages of each



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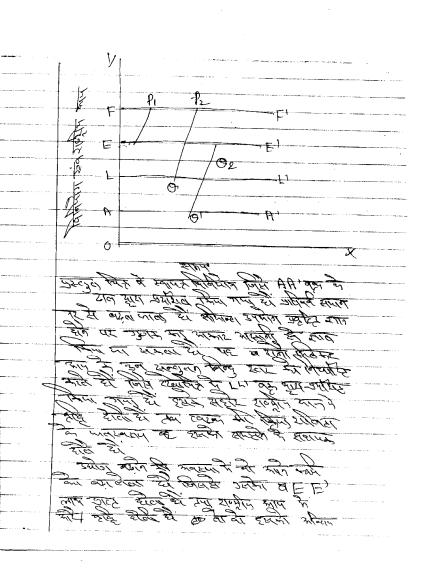
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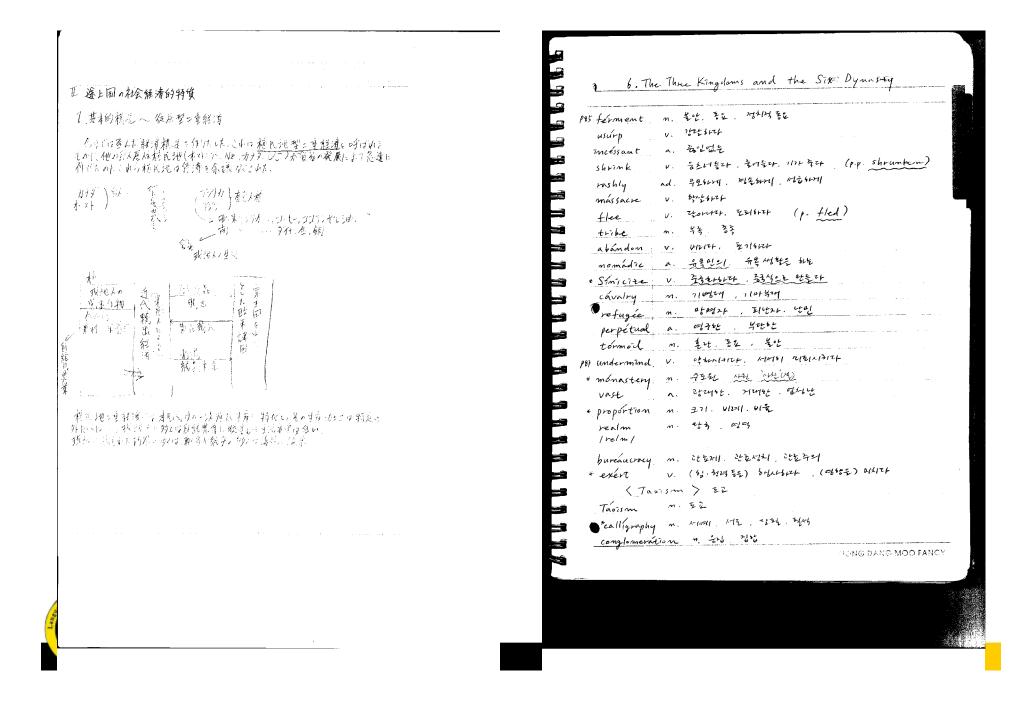
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#### Other "Documents"



## IBM Cross Pad Data

- 30 boxes, 30 writers producing 50-80 pages each
- 25000 pages total / 1 million words
- Most European Languages: German, French, Italian, English (UK), and Spanish
- Makeup: Characters (~8 boxes), Phrases, Freeform (1 box)
- Contracted with IBM to make the data public

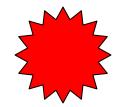


P FFX IISC MELLO NOT NOT TO RUN FOR MAYOR OF FREHONT Fremont Councilman Gay Mello closed the door Thursday on a mayoral bid, increasing the chances that Mayor bill ball will be re-elected to a second term in November. Mello announced in March that he was considering a possible in for mayor, but he said subsequently on several accusions the chances were shith he would challenge Ball "After a long and difficult decision-making process, I have Leaded not to non for mayor of Fremont, at this time, " Hill aid in a written statement. Mello's council seat does not expire until 1993. In a telephone interview, the 44-year-old little instrance Ompany executive said he did not want to devote more time to city Tavainess at the expense of his family and job. He said he currently spends about 30 hours a week on city -related per week.

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## New Data



- 25,000 pages ground truthed to the zone level
- Sampled from the Tobacco Litigation Corpus of 49 Million pages



#### Distribution (docs, pages)

dt_calendar	44	90	dt_email	973	1151
dt_photograph	227	461	co_tables	1049	1980
dt_questionnaire	188	461	dt_form	1582	2265
dt_bibliography	175	530	co_foreign	1669	2300
dt_periodical	479	693	dt_notes	2288	2925
dt_list	405	710	co_illegible	2598	3983
dt advertisement	519	894	dt_graphic	2061	4307
dt newspaper	688	921	dt_letter	3145	4601
co fax	830	1150	dt_report	2213	4604
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## Agenda Tuesday AM



- · Project Overview
  - Introduction
  - Goals and Objectives
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  - GEDI Display Environment
  - Datasets
- DocLib and Algorithms
  - Technical Presentations



# **DocLib Architecture**

#### Efficient Technology Transfer

- software compatibility
- balance of academia, governemnt, and industry needs
- common framework for document processing

#### Scalability

- rapid prototyping of new methods
- simple algorithm comparison

#### Robustness and Stability

- high quality standards
- platform-independence
- accommodation of frequently changing requirements



# DocLib Status

- Core DocLib components matured and stable (in use by a variety of government installations)\
- Addons being integrated/implemented, primarily by developers
- Freely available to government researchers
- Core supported on Solaris, Linux and Windows



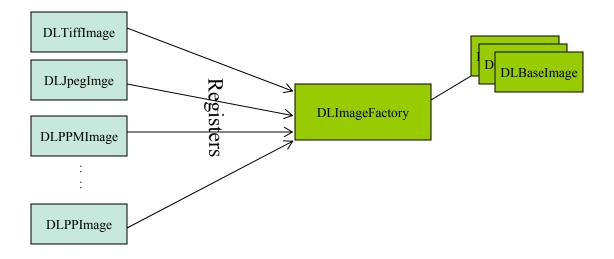
## Core vs Add-ons

- Core components are loosely defined as necessary building blocks for ANY document analysis process
- Addons are tools and applications for specific types of analysis

We try to put as few constraints on the representations as possible.



### **Image Factory**



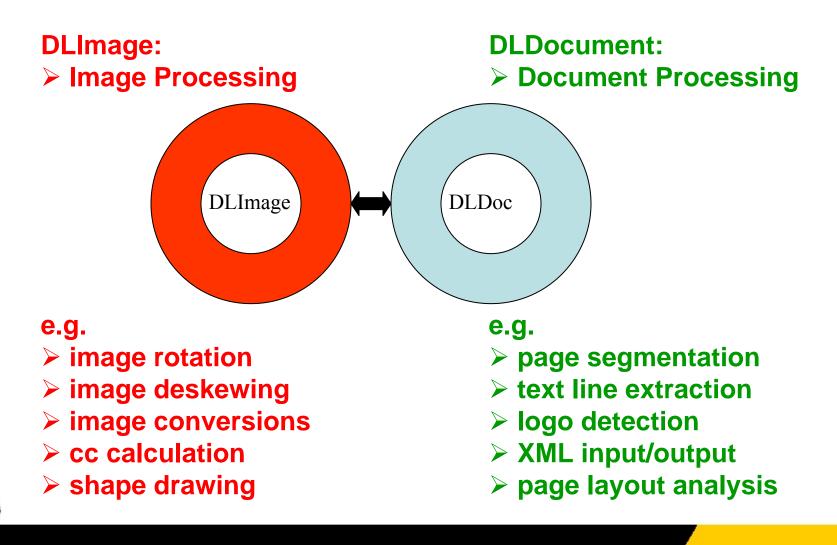
#### **Design Factors:**

- Image Type objects are static/singleton objects created on startup
- DLImageFactory is a static/singleton object
- Image Type objects registers itself with the DLImageFactory during startup
- DLImageFactory keeps a list of supported Image objects as each image type calls the register function
- Additional image types can be plugged into DOCLIB without modifying existing DOCLIB code.



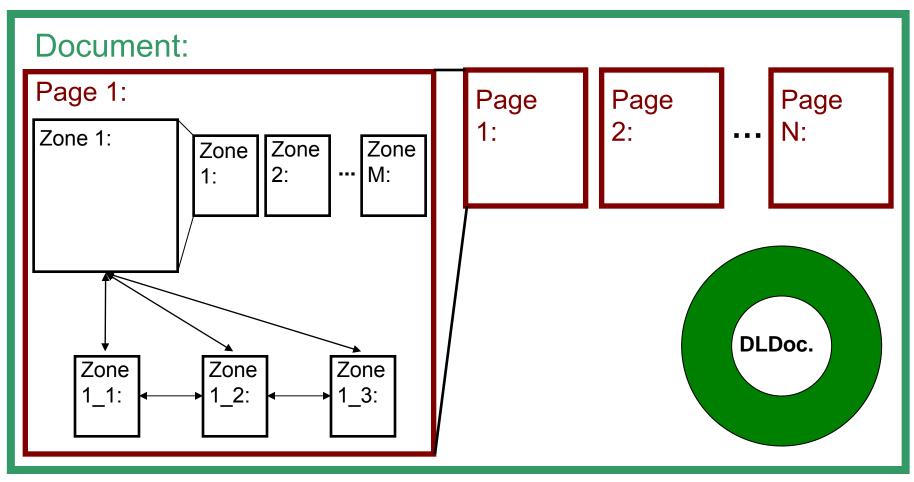
#### **DocLib Architecture**

DocLib's architecture rests on two pillars:





### **Document Hierarchy**





### **Recent Modules**

- Thinning
- Rotation
- Deskewing
- XML i/o
- Degradation
- OCR Scansoft interface (Windows)
- Docstrum
- Logo detection
- Signature processing
- A MUL

- LogoDetect
- TokenMatch
- Machine vs. Handwritten
- Jargon
- Text Line Detection

# XML Output Extension

<?xml version="1.0" encoding="UTF-8" ?> <!-- GEDI is developed at Language and Media Processing Laboratory, University of Maryland. --> <GEDI xmlns="http://lamp.cfar.umd.edu/GEDI" version="1.0"> <USER name="Elena" date="Sun, 14 Oct 2007 8:28 PM" /> <DL\_DOCUMENT src="aaa27e00.tif" docTag="xml" NrOfPages="2"> <DL\_PAGE gedi\_type="DL\_PAGE" src="aaa27e00.tif" pageID="1« width="2560" height="3296"> <DL\_ZONE gedi\_type="STAMP" id="None" col="1174" row="495" width="447" height="132" /> <DL ZONE gedi type="LOGO" id="None" col="274" row="569" width="346" height="159" contents="" /> <DL\_ZONE gedi\_type="MACHINEPRINT" id="None" col="647" row="626" width="1372" height="105" contents="" /> <DL ZONE gedi type="MACHINEPRINT" id="None" col="2410" row="2479" width="511" height="110" orientation="-1.6295521495106193" contents="" /> </DL PAGE> </DL DOCUMENT>

# Agenda Tuesday AM



- · Project Overview
  - Introduction
  - Goals and Objectives
- , <u>[</u>00]3
  - GEDI Display Environment
  - Datasets
- DocLib and Algorithms
  - Technical Presentations



# **Technical Presentations**

- Page Segmentation (and rule line separation)
- Page Layout Similarity
- Document ID/Script ID

This afternoon

- Logo Detection and Recognition
- Signature Detection
- Font OCR



# Technical Presentation Outline

- Overview of Problem
- Technical Approach
- Datasets
- Results
- Implementation and Software



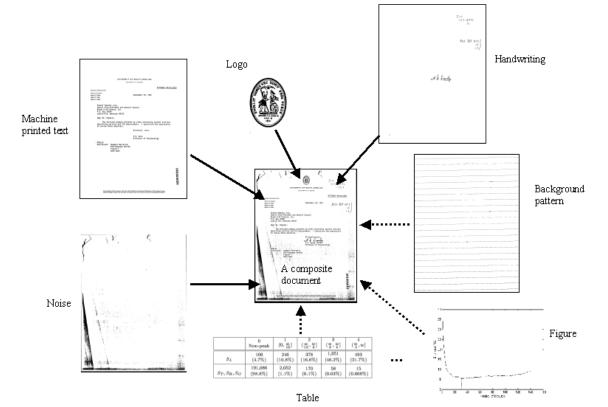
# **Examples of Drivers**

- ScriptID [-x] [-I] filename
  - -x --- Write classification results into an xml file for each input image. It creates a new xml file if no associated xml file exists.
  - -I --- File containing the list of input images to execute
  - -h --- Show help at command line
- DocID [-x] [-l] filename
  - -x --- Write classification results into an xml file for each input image. It creates a new xml file if no associated xml file exists.
  - -I --- File containing the list of input images to execute
  - -h --- Show help at command line



## Page Layer Segmentation

- Document image generation model
  - A document consists many layers, such as handwriting, machine printed text, background patterns, tables, figures, noise, etc.





## Motivation

- Document analysis has been viewed as a solved problem in clean, well-constrained documents.
- However, the performance degrades significantly when a small amount of noise is introduced.
- We further separate handwriting from machine printed text.

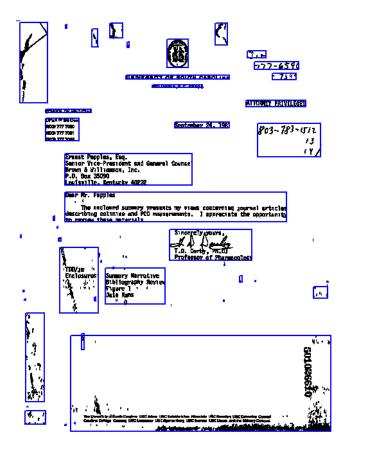


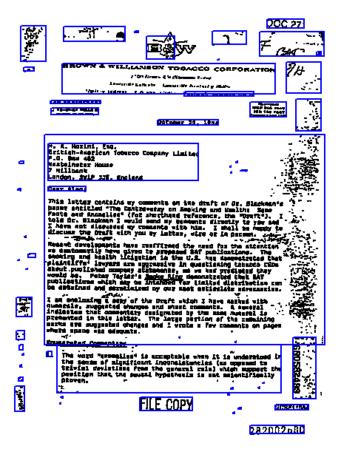
## Motivation

- Layer analysis and separation for general, heterogeneous documents, is a very hard problem.
- Handwritten documents are very important
  - Handwriting was developed a long time ago as a means to expand human memory and to facilitate communication.
  - We are continuing to produce handwritten documents.



#### Page Segmentation for Noisy Documents





\* Docstrum page segmentation technique is used



## **Overview of Our Approach**

- Segment the document to word level using connected component based, bottom-up approach.
- Classify each segmented block into noise, handwriting or printed text, based on extracted features and the Fisher classifier.
- Using MRF (Markov Random Field) to refine the classification result.



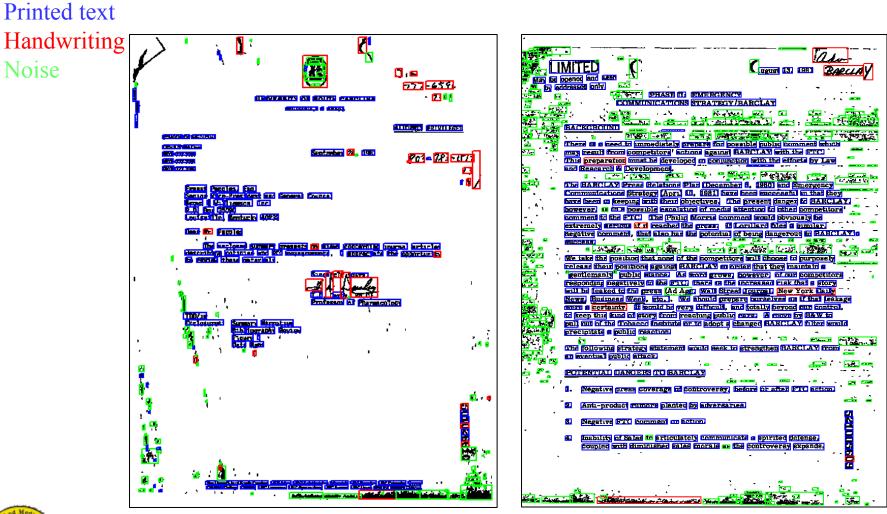
### Feature Extraction and Selection

• We extracted 140 features and 31 of them are selected to train the

	Usage description	Dimensio	Selected
Structural	Region size, connected components	18	9
Gabor filter	Stroke orientation	16	4
Run-length histogram	Stroke length	20	5
Crossing counts histogram	Stroke complexity	10	6
Co-occurrence	Texture	16	2
2×2 gram	Texture	60	5
Total		140	31



#### **Classification Results with Fisher Classifier**





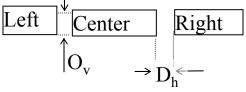
## Using Context

- The results are reasonable with a few misclassification due to the overlapping of different classes in the feature space.
- Context can be used to refine classification results further
  - Words of printed text tend to lie on the same line.
  - Noise block are likely to overlap each other.
- This kind of local dependency among neighboring components can be described with the Markov Random Field (MRF).

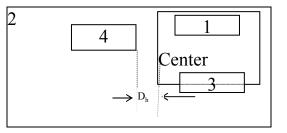


## Clique Definition

- Low level MRF is defined on regular lattice (pixel)
- Our high level MRF is defined on a graph.
  - After defining the connection between word blocks, a graph is generated.
  - Neighborhood of MRF is defined on the graph.
- Clique  $C_p$  for printed text



• Clique C<sub>v</sub> for Noise





## **Clique Potential**

• Clique potential:  $V_{p}(c) = -\frac{P(x_{l}, x_{c}, x_{r})}{\left(P(x_{l})P(x_{c})P(x_{r})\right)^{w}} \quad V_{n}(c) = -\frac{P(x_{c}, x_{1}, x_{2}, x_{3}, x_{4})}{\left(P(x_{c})P(x_{1})P(x_{2})P(x_{3})P(x_{4})\right)^{w}}$ 

Probabilities are estimated from ground truth.

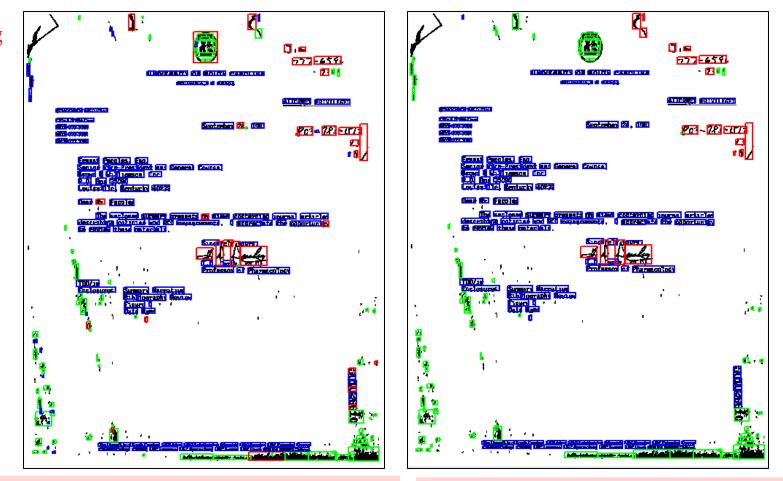
• Total energy of Gibbs distribution:  $U(\underline{X} / \underline{Y}) = -w_s \sum_{s \in \Omega} P(x_s / y_s) + w_p \sum_{c \in C_p} V_p(c) + w_n \sum_{c \in C_n} V_n(c)$ 

HCF (Highest Confidence First) method is used to minimize the energy function.



## MRF Postprocessing Example

Printed text Handwriting Noise





Before MRF-based postprocessing

After MRF-based postprocessing

### Evaluation

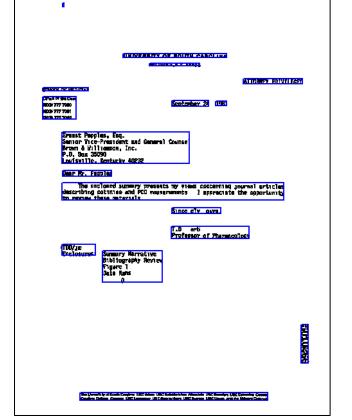
- Data Collection
  - 318 documents provided by the tobacco industry.
  - 94 documents of testing, the other for training.

	#Total	Percen tage	Before Post- processing		After Post- processing	
			Accuracy	Precision	Accuracy	Precision
Printed Words	19,227	66.9%	95.9%	99.5%	98.0%	99.7%
Handwritten Words	701	2.4%	93.2%	62.9%	93.0%	83.3%
Noise Blocks	8,802	30.7%	96.8%	93.0%	98.6%	96.0%
Total	28,730	100%	96.1%	N/A	98.1%	N/A



#### **Application to Page Segmentation**

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#### After enhancement



#### Before enhancement

### Background Pattern (Rule Line) Separation

- Many handwritten documents are produced on rule lined paper
- These lines should be detected and removed before we feed the text to an Optical Character Recognition (OCR)

engine.

10005 ~11/0 en/un

An Arabic handwritten document on rule lined paper

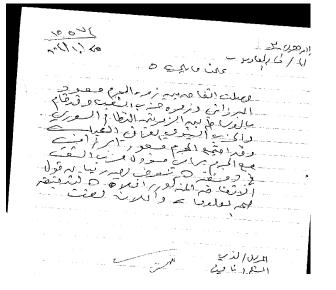


## Challenges

- Previous work
  - Hough transform
  - Projection based (Strip Projection [Chen98] and Skew Projection [Liu95])
  - Vectorization based (BAG [Jain96], SPV [Dori99], and DSCC [Zheng01])
- Challenges
  - The documents may be degraded with severely broken lines.
  - High accuracy and low false alarm rate are demanded.



### Challenges



**Original document** 

Line detection results using DSCC method

- We propose a model-based method
  - Model the horizontal projection profile with an HMM model.



Under the model, lines are detected simultaneously.

### Preprocessing

- Text filtering
- Skew estimation and correction

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مسلت الفاصيب (مرماليم) معدد البراغ وزمره حذب النب ورماليم) بالدن عمر مبر النظار العرب والدن عمر المبرا مريد النظار العرب مؤدا وتبه الحسم صعود المراسي بعاليم مراس معدود من المعن الذي خواللام المرم و مثن الذي خواللام المرم ومن		
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المسلم الملح المسلم المسلم		

**Original image** 

**Text filtering** 

#### **Skew correction**

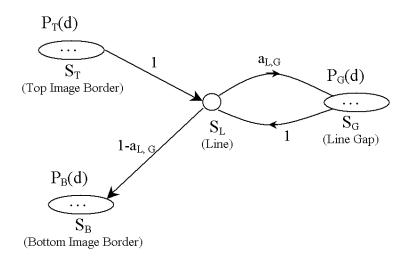
Horizontal projection profile



## HMM Model for Parallel Lines

#### Model the projection profile with an HMM model

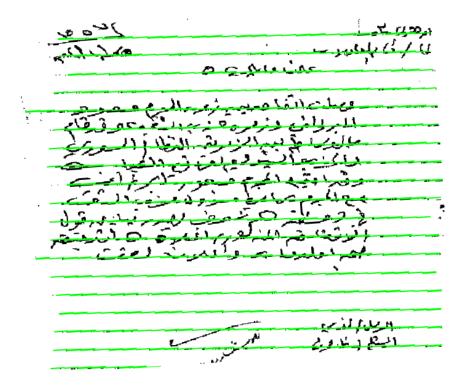
- The vertical position of lines {Y<sub>i</sub>} form a Markov Chain
- We can not observe {Y<sub>i</sub>} directly, but projection profile
- The gaps between neighboring lines are consistent on the same page



- Parameters of the model are estimated from ground truth.
- Viterbi algorithm is used to decode the model.



#### **Rule Line Detection Example**



المعلى المالي معنى معدد المراكة محلف مالمي معدد معن مالمي م البرزاش ونرمره حذب النعا والعرب مالوب م مبر الزمرية اللها والعرب مالي مالي الحرم معد و رامرة امن معالي مالي معد و رامرة امن معالي مالي مود مارة امن معالي مالي مود مارة من معالي مالي لعرب المعد معالي مالي لعرب المود معالي مالي لعرب المحد معالي مالي لعرب المحد مع مالي لعرب مالي لعرب مع مالي لعرب ما



Model-based line detection result

After rule line removal



## Evaluation

- Database
  - 168 Arabic documents with a total of 3,870 groundtruthed lines.
  - 100 images for the training of the HMM model, 68 images for the testing.
- Quantitative evaluation (evaluation metrics are discussed in the paper in detail).

	Groundtruthed Lines	Detected Lines	Correct	Partial Correct	Missed	False Alarm
Training Set	2,274	2,319	2,212 (97.3%)	56 (2.5%)	6 (0.3%)	51 (2.2%)
Test Set	1,596	1,631	1,545 (96.8%)	49 (3.0%)	2 (0.1%)	37 (2.3%)

QUANTITATIVE EVALUATION OF THE RULE LINE DETECTION RESULT.



## Comparison with Other Methods

- Hough transform
- DSCC
- Projection based methods

COMPARISON OF OUR MODEL-BASED METHOD WITH OTHER METHODS ON THE TEST SET (THERE ARE A TOTAL OF 1,596

	Detected Lines	Correct	Partial Correct	Missed	False Alarm
Hough Transform	1,588	1,299 (81.4%)	60 (3.8%)	237 (14.9%)	229 (14.4%)
Projection Method	1,577	1,310 (82.1%)	112 (7.0%)	174 (10.9%)	155 (9.7%)
DSCC	2,162	1,398 (87.6%)	118 (7.4%)	80 (5.0%)	646 (40.5%)
Our Model-Based Method	1,631	1,545 (96.8%)	49 (3.0%)	2 (0.1%)	37 (2.3%)

GROUNDTRUTHED LINES).



## Software

- Implemented as a set of Libraries
- Trainable with new data



# **Technical Presentations**

- Page Segmentation (and rule line separation)
- Page Layout Similarity
- Document ID/Script ID

This afternoon

- Logo Detection and Recognition
- Signature Detection
- Font OCR



# Multi-Class Classification using Document Layout

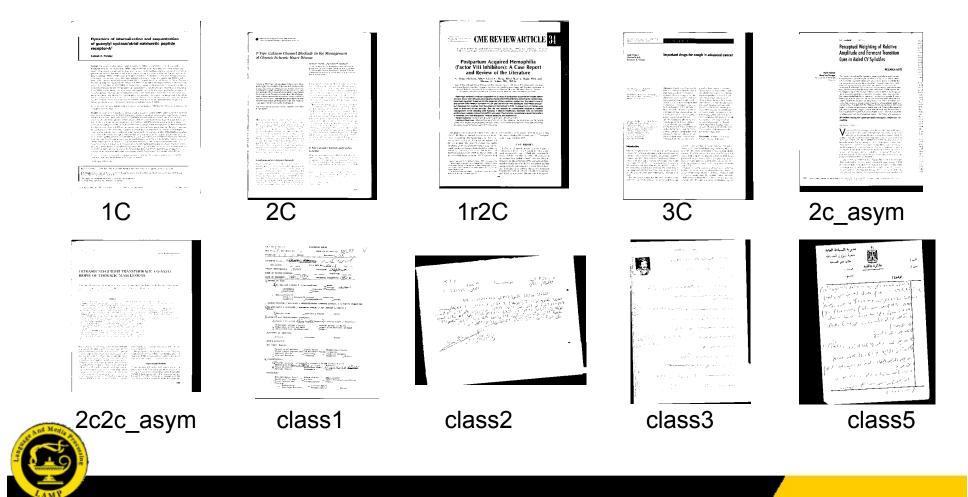
- Motivation
- Document Representation
- Random Chopping
- Feature Selection
- Score Function
- Experiments
- Summary and Future work



### Motivation

- In a large collection of documents (forms, academic papers, handwritten letters, checks, receipts, etc.), most times people need to handle only those with some specific layout.
- **Drawback** of our previous system for document ranking based on layout : training is restarted from beginning each time a new layout comes
- **Reason**: we do not give an explicit definition of layout, the system learns no concept of layout, but image content.
- Proposal: Let the system itself figure out important
   dissimilarities for layout classification.

#### Layout Examples



### Document Representation -- Building blocks

• Text lines extracted by TB library (endpoint coordinates, line orientations)

	For the year JanDec. 31, 1965, or other lax year beginning	
Label	Cour first name and install (if joint ratum, also give sequee's name and initial) Last name	A57 80 358
		) Specie + toolal security north
type.	City, town or post office, state, and 21 <sup>d</sup> 2000	Participation but the two tests
Presidențiai Ciectico Compaign	Do you work \$1 to go to this fund?	X No reduce your refun
Filing Status	z Married filing janterstann (aller if gely ante had income)	



### Quadrilaterals from text line pairs

• A document := {Quadrilaterals}

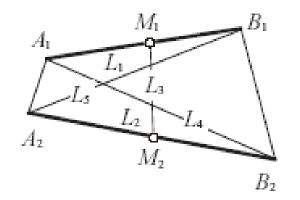
	For the year JanDec. 31, 1968, or other tax year beginning		19 OHBHQ 1545-00
	L Your first name and antial fit joint report, also give species 's car	ne and (natural) Last name	the second states and a second second second
NTA SS	A DOT Y IS & DOOD A. How Let		657 80 359
WARD STREET	And and state and address includes, sharp, and said the sould be the	The second part of the second of	Spoule & Cociel Security non
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ype.	City, town of beel office, state and the city		A Contractor
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T Salara las	Co you want \$1 to go to this fund	100 000	not change your l
<b>Election Compaign</b>	I fomi retorn, dees your spouse want \$1 to \$5 to th	stund?	No reduce your return

- Merits:
  - Text line properties (length, orientation) are defined implicitly by their relative contribution to the quadrilateral shape
- Drawbacks:
  - $O(n) \rightarrow O(n^2)$



### **Quadrilateral Shape Vector**

• 5D shape vector



 $L_1$ ,  $L_2$ : text lines

L<sub>4</sub>, L<sub>5</sub>: diagonals

L<sub>3</sub>: midpoints connection line

- Vector uniquely defines the quadrilateral shape
- Text line correspondence guaranteed
- Efficient clustering
- Document represented this way is translation and 180° rotation invariant

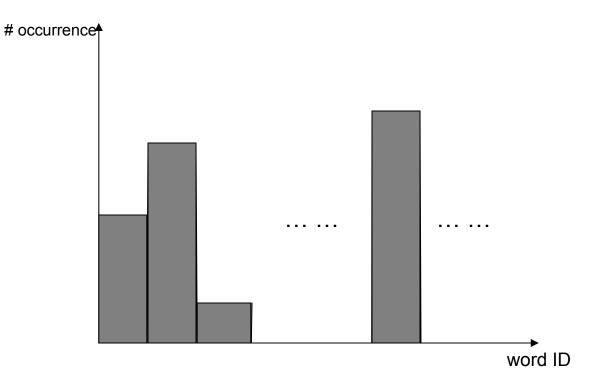


# **Dictionary of Quadrilaterals**

- We need to establish correspondences between quadrilaterals so that documents comparison can break down into quadrilateral comparison.
- Clustering in 5D space using range search, each quadrilateral cluster is regarded as a word in the dictionary
- Need a rich dictionary to avoid too many unknowns in a query
- From 101 documents, we built a dictionary with 976 words

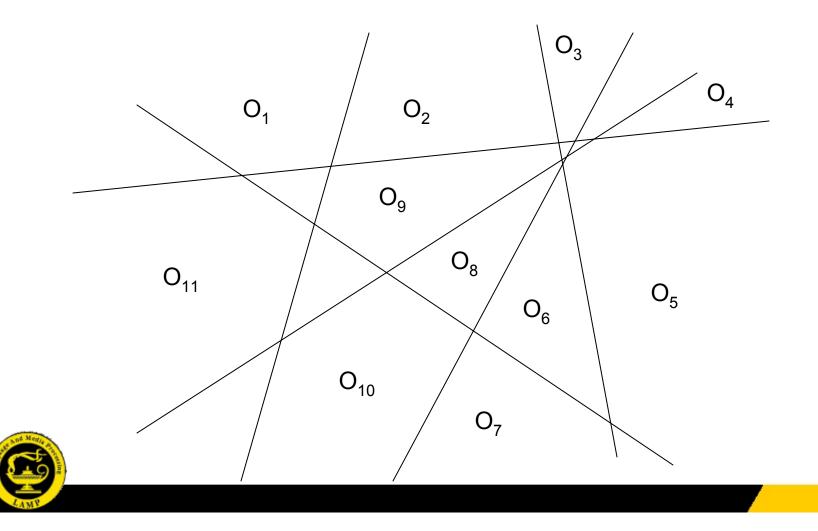


### **Document Representation**





### Random Chop – the idea



# Specifically

- For each layout class, we choose some training samples
- For i= 1 to NUM\_CHOPS
  - Randomly chop layout classes into two classes
  - Validity checking of current chop
  - Feature Selection
  - Train a binary discriminative classifier using Logistic Regression on training samples



- Evaluate the classifier on a validating set

### Feature Selection

- Document histogram vector lies in a very high dimension space
- Select subset of features that is relevant to the chopping in consideration
- CMIM criterion : Conditional Mutual Information
   Maximization

 $v(1) = \operatorname{argmax} I(Y; X_i) \quad 1 \le i \le N$ 

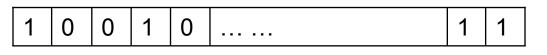
- v(k+1) = argmax {min  $I(Y;X_i|X_{v(I)})$ }, 1≤k≤ K, l≤k
- Stopping criteria:
  - Maximum number of selected features is reached



– Information gain is lower than a threshold

# Score a query document

• Each document has a signature *S* like



• Each layout class has a relaxed signature *RS* averaged from training samples. (consistency)

0.9	0.1	0.12	1	0.07		0.875
-----	-----	------	---	------	--	-------

• Each classifier has a performance value *P* on validation set. (discriminativity)

0.75 0.8 0.66 0.55 0.7 ..... 0.6

• Score of a query against layout class i

$$Score_i = \sum_k F(S_k, RS_{i,k}) * P_k$$

 $C = argmax_i Score_i$ 

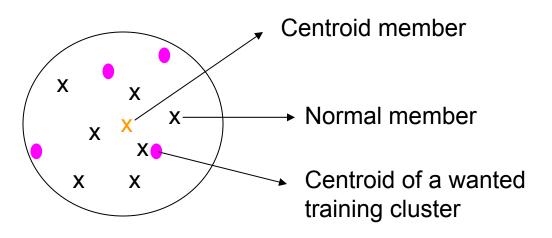


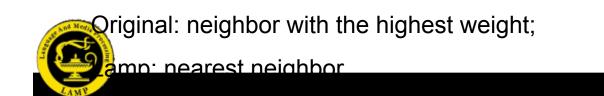
# Scoring a testing document

•  $S = (\sum_{i} N_{i} * W_{i}) / (\sum_{i} N_{i})$ 

Wi : weight of the wanted training cluster which is the nearest neighbor within fixed range of testing cluster i.

Ni : size of cluster i.





### **Evaluation Scheme**

- Mean Average Precision (MAP)  $-P_i = (\sum_{i \le j} P_j) / (\sum_{i \le j} 1)$
- Average Relevance Rank (ARR)

 $-I = (\sum (R_i - (N_t+1)/2)) / (N^*N_t)$ 

Ri : rank of one wanted testing document.

N : testing size

Nt: wanted testing size

 $-I \in [0, 1-Nt/N)$ , the lower the better



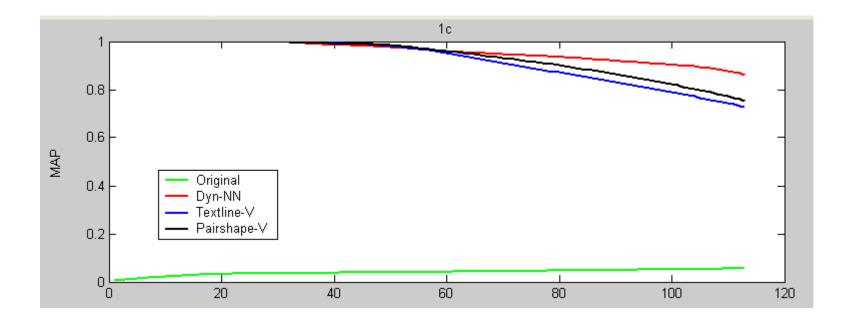
#### **Experimental Results**

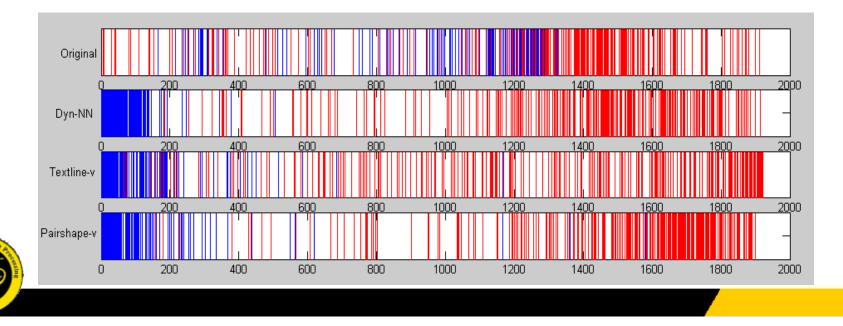
#### --Confusion Matrix

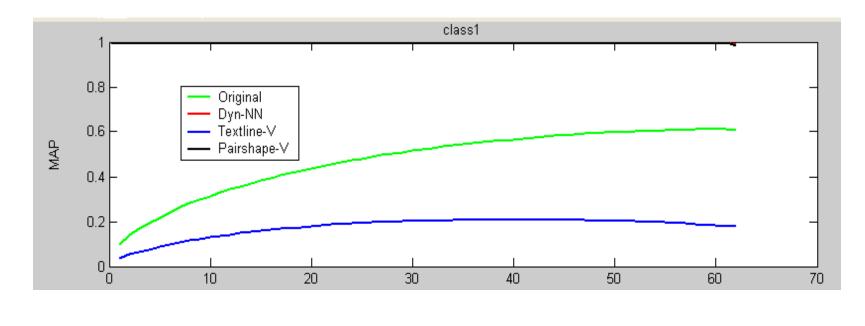
	1c	2c	1r2c	3с	2c_a sym	2c2c_ asym	class 1	class 2	clas s3	clas s4
<b>1c</b> (113)	87	8	16		2					
<b>2c</b> (144)		133	4	1		5	1			
<b>1r2c</b> (431)	9	168	246			8				
<b>3c</b> (23)				23						
<b>2c_asym</b> (6)					3	3				
<b>2c2c_asym</b> (45)		1				44				
<b>Class1</b> (62)							62			
<b>Class2</b> (264)	3					2	3	230	2	24
<b>Class3</b> (121)	1			1			13	2	101	3
<b>Class4</b> (95)				1		1	17	27	7	52

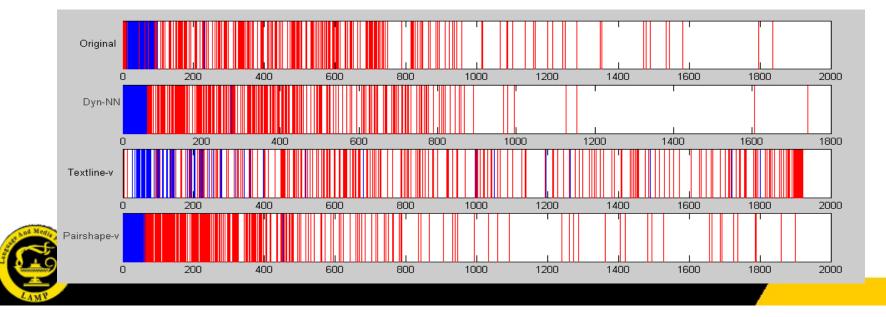
### Experiments – ARR Results

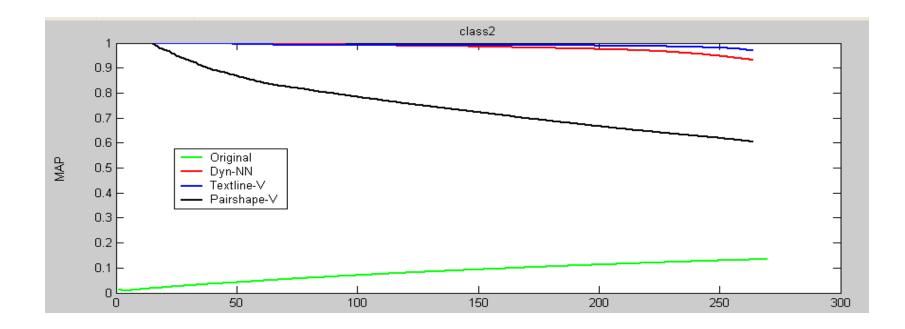
	Original	Dyn-NN	Text-V	Pair_V
1c	0.450	0.011	0.038	0.043
2c	0.062	0.010	0.324	0.087
3c	0.028	0.0002	0.504	0.013
1r2c	0.148	0.063	0.245	0.105
1r1r2c	0.159	0.010	0.103	0.045
1r2c2c	0.121	0.067	0.186	0.139
2c_asym	0.137	0.025	0.360	0.039
2c2c_asym	0.025	0.0002	0.097	0.010
class1	0.009	0.002	0.133	0.003
class2	0.398	0.011	0.004	0.075
class3	0.160	0.026	0.146	0.090
class5	0.302	0.056	0 103	0.085

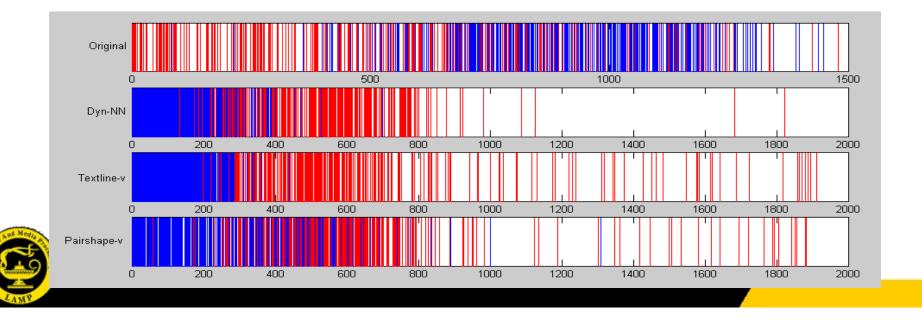


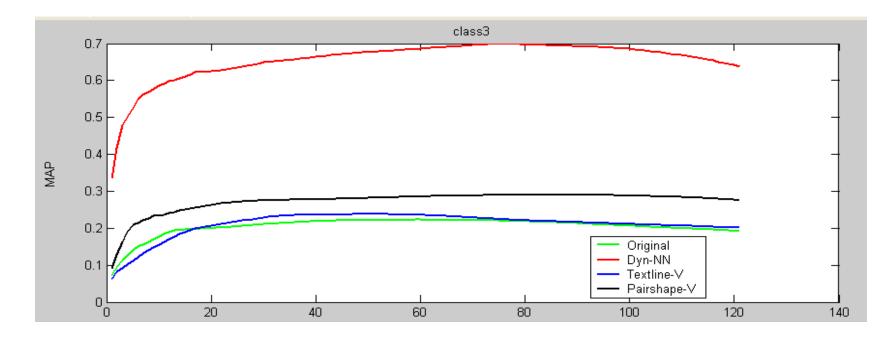


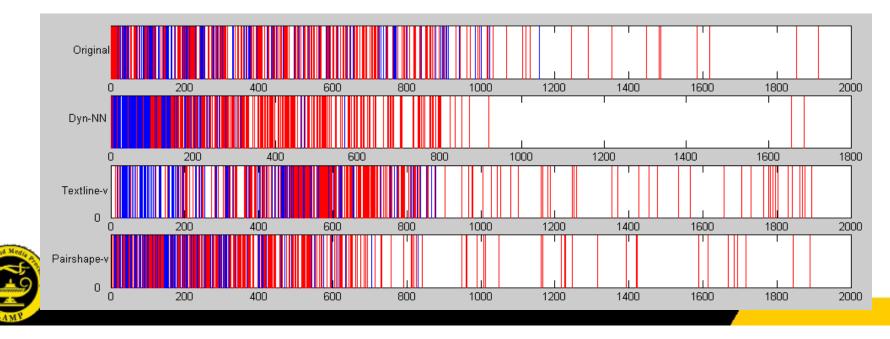


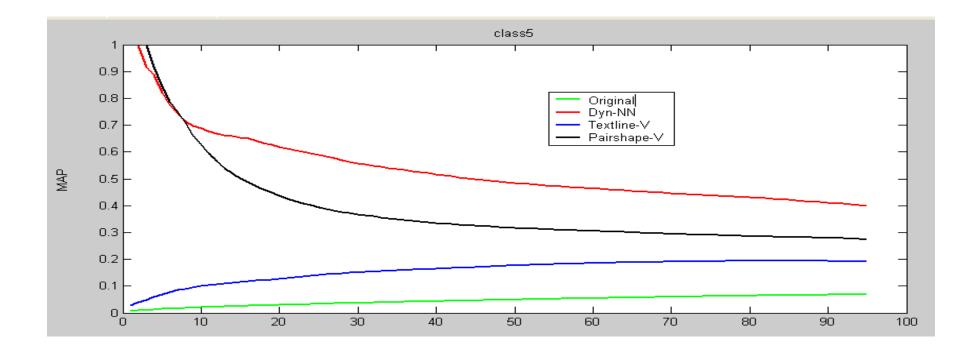


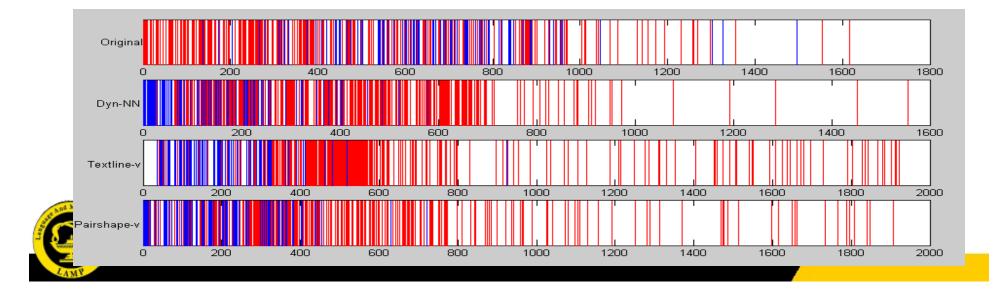












# Summary and Future Work

- Conclusions:
  - Time efficiency
  - Space efficiency: only need to store classifier parameters and class signatures
  - Easy to combine new layout classes
  - Generalizability : is able to tell, to some degree, whether a new pair of instances unseen in the training set are of similar layout
- Future Work:
  - Find out the optimal number of chops for a given number of classes
  - Guarantee non-overlapping of classes



- Try different classifiers, like NB, SVM

### Software

- Currently Implemented as DocLib
- Line Detection Modules Improved



# **Technical Presentations**

- Page Segmentation (and rule line separation)
- Page Layout Similarity
- Document ID/Script ID

This afternoon

- Logo Detection and Recognition
- Signature Detection
- Font OCR



# Script and ImageID

- ScriptID
  - Given a set of handwritten document images, identify the scripts.
  - Dataset: UMD handwritten dataset + Arabic dataset
- ImageID
  - Given an arbitrary image, identify that it is
    - document image
    - image with text
    - Image w/o text
  - Dataset: ~3700 images from Internet.



# ScriptID

- Motivation
- Challenges
- Observation
- Descriptor
- Implementation
- Results



### The Motivation

- Speedup the recognition process

   Turn on the OCR engine, when necessary;
- Improve the accuracy
  - Select different OCR engines for different scripts;
- Understand the human perception
  - Can we recognize different scripts before recognizing individual characters?



# The Challenge

- Handwritten documents
  - Template matching cannot be used in general.
- The method needs to be fast
  - Naïve trial-and-error methodology doesn't work
- The method needs to be invariant to
  - Scale



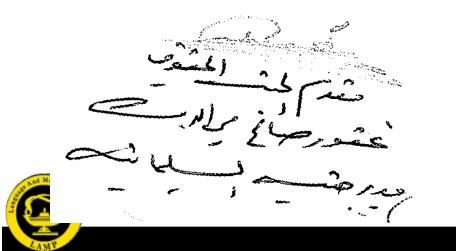
Rotation

#### The Observation

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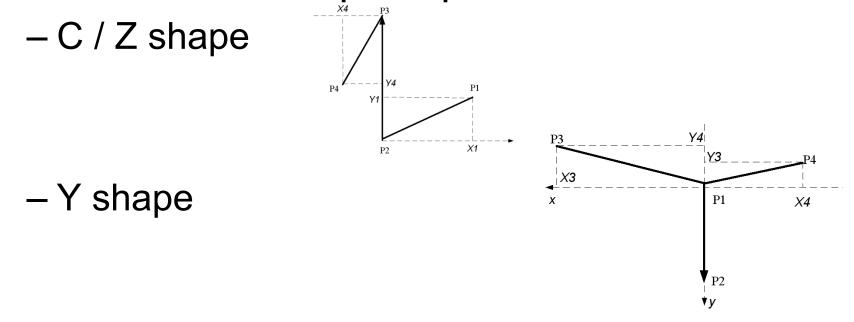
# The Observation (con't)

- The relationship of connected edges could be used for description;
- The dominant descriptors for different scripts could be different;
- The statistics of the descriptors could be used for discriminating different scripts.



### The descriptor

- Fit edges to small lines
- Adjacent lines: encode the relative coordinates w.r.t pivot point.

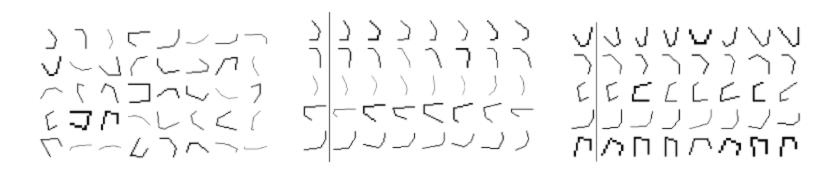




Yu et al, Object Detection Using Shape Codebook, BMVC 2007

### The codebook for the descriptor

- The advantage of the codebook
  - Generic
  - Quantization -> fast
- generate the codebook
  - A large dataset
  - Extract descriptor
  - Cluster the descriptor



### Classifier: Support Vector Machine

- Suppose we have *N* classes
- For each class, we train 1 SVM using images from this class vs other classes.
- Result: *N* SVM classifiers (linear classifier in high dimensional space)



#### **Dataset** for Classification

0003\_original.tif 0005\_original.tif 0008\_original.tif 0010\_original.tif 0015\_original.tif 0016\_original.tif 0018\_original.tif 0022\_original.tif 0024\_original.tif 0027\_original.tif 0036\_original.tif 0050\_original.tif 0070\_original.tif 0076\_original.tif 0104\_original.tif 0113\_original.tif 0122\_original.tif 0131\_original.tif 0177\_original.tif 0179\_original.tif 0217\_original.tif 0970\_original.tif

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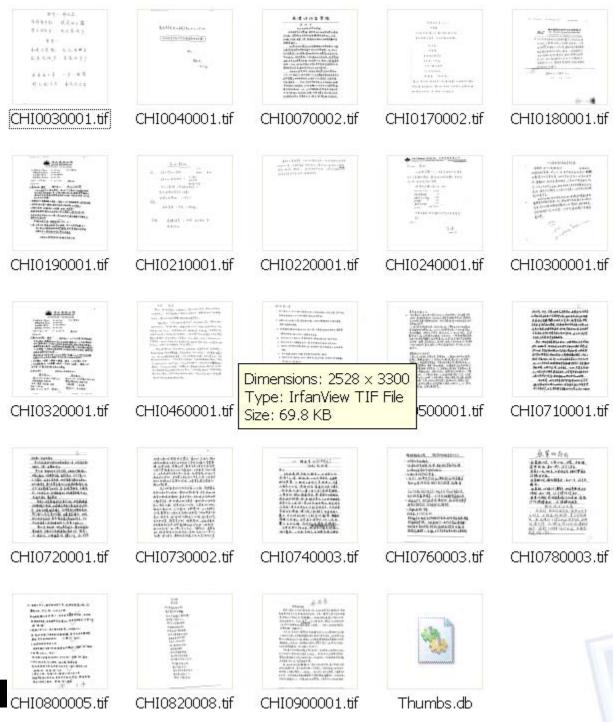


0180\_original.tif



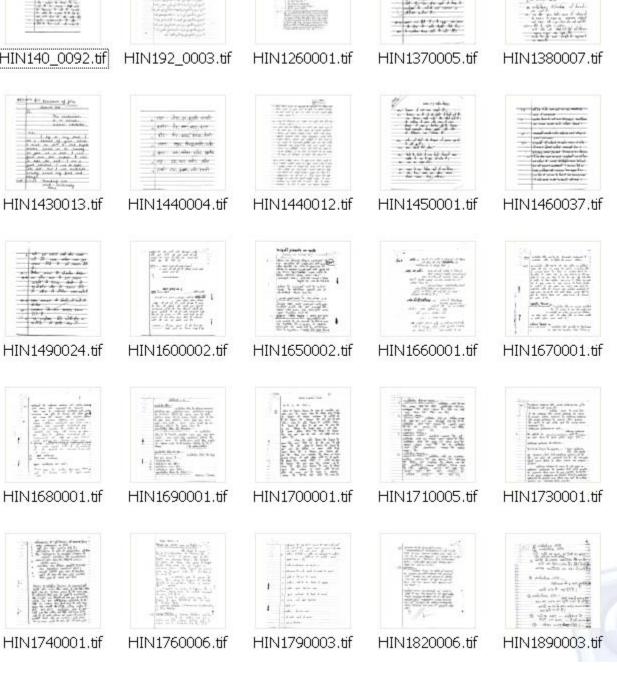
#### Dataset for Classification

• Chinese



#### Dataset for Classification HIN140\_0092.#F

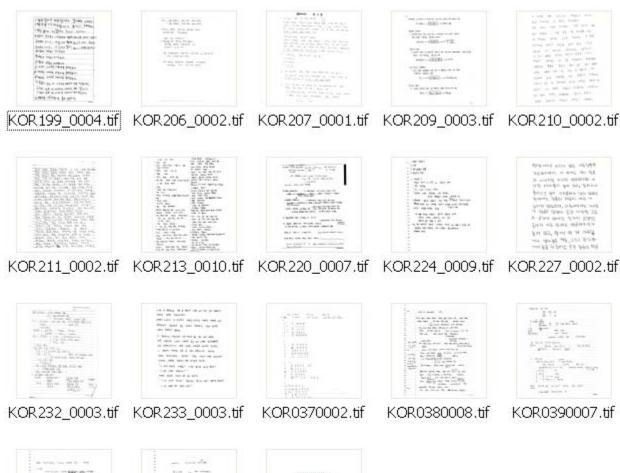
• Hindi





#### Dataset for Classification

Korean







# The implementation

- Given a document image
  - Preprocessing
    - Binarize if necessary
    - Skeletonize
    - Clean the image using mathematical morphology.
  - Extract descriptors
    - Extract line segments
    - Compute shape descriptors
    - Quantize the shape descriptors and compute their histogram.



- Train and classify

#### Result

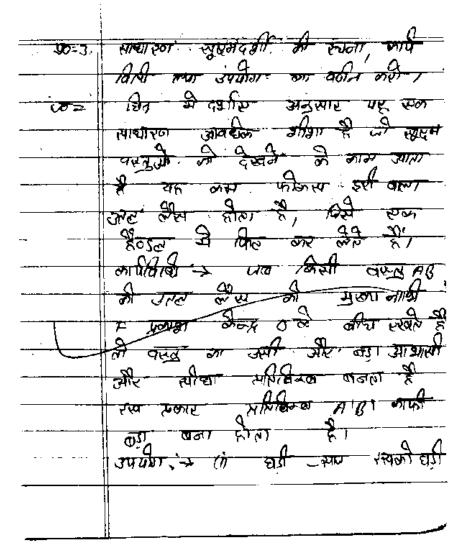
• Confusion matrix (experimental result, july 2007)

	Arabic	Chinese	Hindi	Korean
Arabic	11 (74%)	1	2	1
Chinese	0	10 (77%)	0	3
Hindi	1	1	10 (83%)	0
Korean	1	3	0	9 (70%)

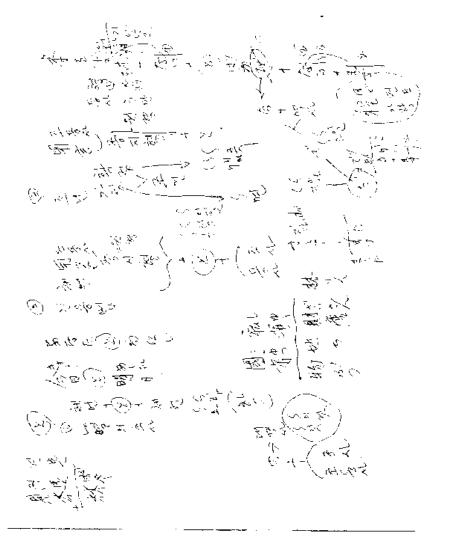


#### Failed examples

#### Arabic



#### Chinese



#### Failure example (Korean)

السير الامر رجاء" بالاعة دارا> أصيب جم خريرون ابراهم رشيد موالير ١١٩٦١ المشوب الحدفة الستقل يسكن علة كاريزه جلوسه خارج البأر ببطلقيمت مادتين من قبل المخاص جربولي الهوية نقل علما أنزها الى مستشفل الطواري الدني ولعدها نقل الى مستنفل الطوارة لعسكره • تجمل هوية الفاعلين ويرم الفلم سيري 1261 م محد ليرين الغرب



#### ImageID

- Motivation
- Challenge
- The Approach
- Results



## The Motivation

- Adopt different vision modules
  - For different categories we can adopt different strategy in computer vision
- Improve efficiency
  - Use the category as prior.
- Speedup OCR module in real world environment.



# The Challenge

- Images are arbitrary
  - Appearance model cannot be used for the classification.
  - We use the same shape descriptor because the code book is generic.
- Ambiguity
  - "images / text vs images", e.g., Coke can.
  - "doc vs images / text", e.g. "publication cover" usually has figures.

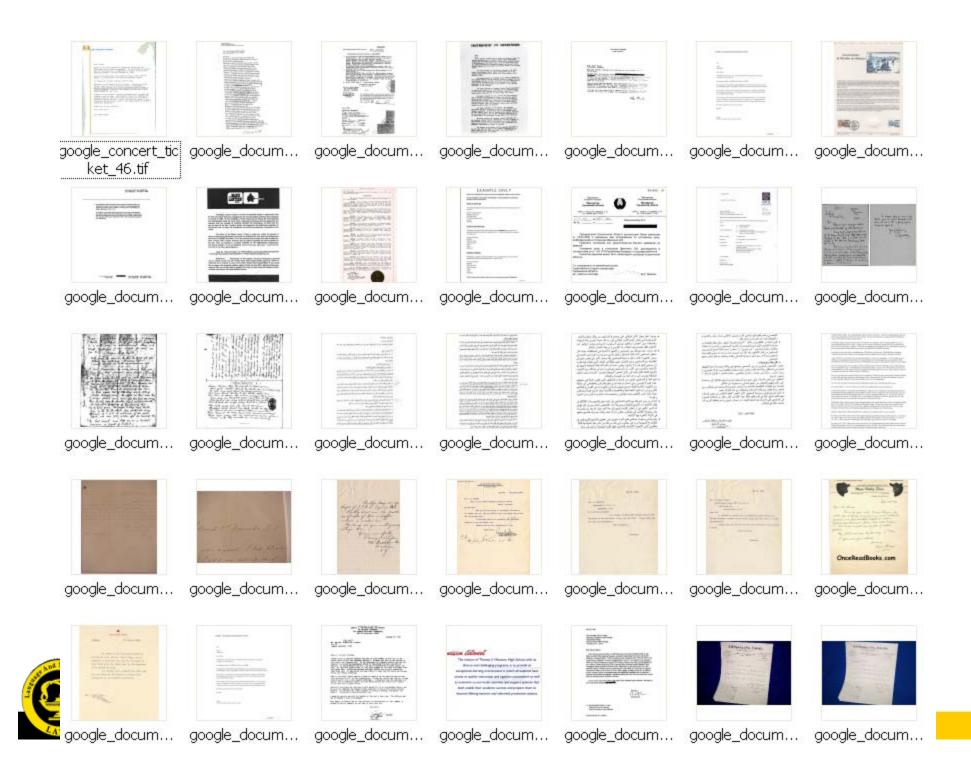


#### Dataset for ImageID

- Collected form Internet, through search using different keywords
- Manual inspection, removal of duplicate images.

Page Classification Datasets (Google Image)	
Document	797
Image with Text	1695
Non-Document	1275
Total	3767







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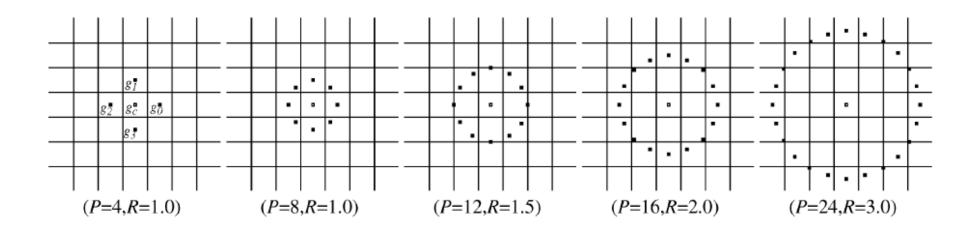
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#### The Spatial Descriptor



- P: number of neighbor pixels
- R: neighbor size



## LBP: Local Binary Pattern

- Define
  - Texture: Joint distribution of center pog<sub>c</sub>t given neighbor samplin(g<sub>p</sub> (p=0,..., P-1)

$$T = t(g_{c'}, g_{0, \dots, g_{P-1}})$$

• Example

<b>g</b> <sub>3</sub>	<b>g</b> <sub>2</sub>	$\mathbf{g}_1$
<b>g</b> 4	<b>g</b> <sub>c</sub>	<b>g</b> <sub>0</sub>
<b>g</b> <sub>5</sub>	$\mathbf{g}_6$	<b>g</b> <sub>7</sub>



### The LBP representation

- Given an image.
- Transform the distribution vector into an Pbit pattern code ("Binary pattern")

$$LBP_{P,R} = \sum_{p=0}^{P-1} s (g_p - g_c) 2^{p}$$

- s: scale factor



### Other variations of LBP

- Rotation invariant
- Different neighbor points and area
- "uniform" pattern



### The performance

Confusion matrix

	Doc	Image w/	Non doc
Doc	0.8557	0.1340	0.0103
Image w/	0.1725	0.6011	0.2264
Non doc	0.0444	0.1422	0.8133



### The Module

- Input
  - Training: an text file contains a list of training images.
  - Testing: a filename to an image.
- Output
  - Training: an SVM classifier (model.txt)
  - Testing: XML format (JEDI readable) for corresponding input image.
- Performance
  - 700 seconds for 3000 images
  - Similar speed for every image
  - No exceptions and memory leaks



#### Results – classified as



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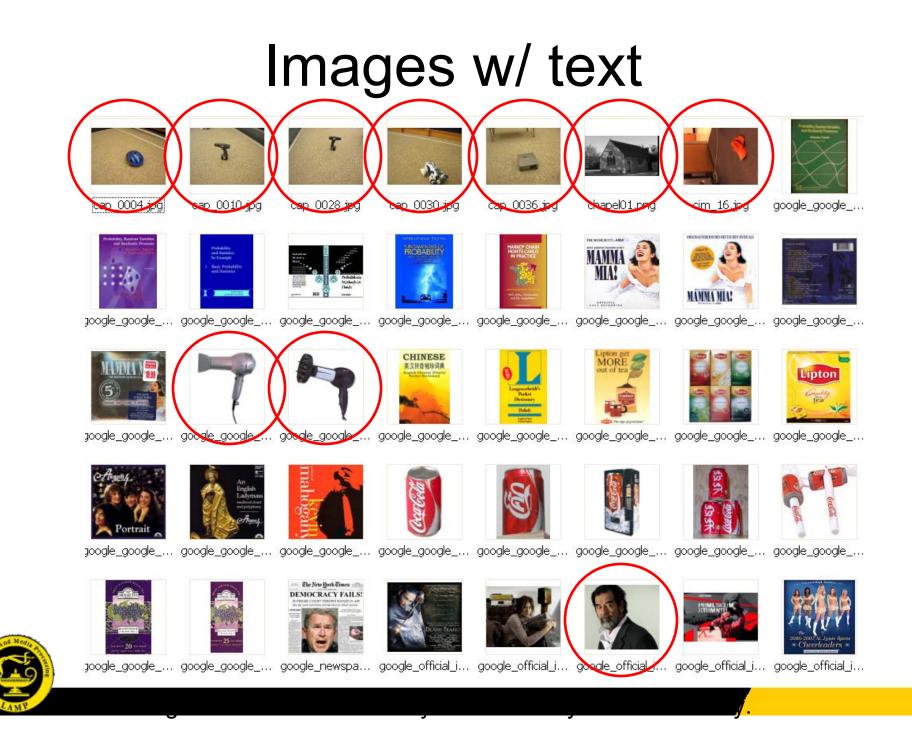
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#### Images









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#### Improvement

- Incorperate the distribution of grayscale:
   an important clue for classification
- Try larger neighbor area for LBP
- Combine with other descriptors
  - Appearance model



#### Future work

- ScriptID
  - Test more scripts. 10-15 would be a reasonable goal
- ImageID
  - Improve the performance of the classification of the image w/ text vs images .



## **Technical Presentations**

- Page Segmentation (and rule line separation)
- Page Layout Similarity
- Document ID/Script ID

This afternoon

- Logo Detection and Recognition
- Signature Detection
- Font OCR

