

☐ Page Segmentation (and rule line separation)
☐ Page Layout Similarity

Document ID/Script ID

This afternoon

- Signature Detection
- Logo Detection and Recognition
- Stamp Detection
- Font OCR



Document Image Retrieval Using Signatures

Motivation

- Signature Detection Using Multi-scale Structural Saliency (CVPR 2007)
- Signature Matching for Document Image Retrieval
- Unconstrained Logo Detection in Document Images (ICDAR 2007)
- Software releases





Given a large heterogeneous document image database, we are facing a few very challenging problems

□ How can we retrieve documents authored or approved by a specific individual in unconstrained settings?

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مرتبط ۲۰۱۸ و مشتر ۲۰۱۸ و مشتر ۲۰۱۸ می مشتر این مستر رو اور و ان و استریب در مدهند می میتر مرتبط ایلام می مشتر این مناطقتا الاستغل یافله بع القصور م مناطقتا مستروین مناطق این می	مشیرة ابداد التيشنان حوق الدشنة منالد موسطنها فتوة تدم عليه نوشتار تعليم أنه ما حوالير بعن العزية المنالية باست دخارة كليمة الدينية مسم الدارسات وصفائل المستالية والعاجرة لديه المسمر حقيق الاستستان معالي من لدينا مناسعات لحليه قد تعلقه الامراك المسترسية في الاستستان معالي من الما مناسعات لحليه قد تعلقه الامراك المسترسية في الاسترسان الما مناسعات لحليه قد تعلقه الامراك المسترسية في الاسترسان معالية من الما من المسترسية الامراك المسترسية في المسترسية المستركة منابط المسترسية	ال 1 سوبریتر این ستنالود می این است. العلی ۲ مه ۲ این است. بر بین این العلی است می از این می این الدیدان سیم مونه این با بر بین این العلی است می از این می می از این می شود بالسان ۱۱ رول ی می از الان العلی است . بر بین این العلی است . بر بین این العلی این می می این المان الممان المان الم

□ How can we retrieve documents originating from an organization?





- Signatures and logos provide exciting new dimensions for document image mining
- Solution to these problems are also important in document analysis systems in a range of application domains
 - □ Signature verification and identification
 - □ Business process automation





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- Two problems are of fundamental interest to general content-based image retrieval
 - Detection and segmentation
 - □ Matching
 - Representation
 - Similarity measures
 - Matching algorithms





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Challenges



- Detecting free-form objects in cluttered backgrounds is a challenging problem in computer vision
- 2D nature of off-line signatures
 - Difficult to recover tempo order of unconstrained off-line handwriting [1]
- Large intra-class variations of signature
 - □ Intersession variability
 - Larger variations than other forms of handwriting
- Computation complexity







Intersession variability shown by Sabourin *et al.* [6]













- We treat a signature as a global symbol. Rather than focusing on local features that typically have large variations, our approach aims to capture the structural saliency of a signature by searching over multiple scales
- We consider identifying salient structure and grouping its parts in two separate steps
- Two keys questions we addressed are:
 - □ How to effectively model off-line signature production under reasonable assumptions without its temporal information
 - What to effectively measure the structural saliency of signatures under such production model



Signature production model



• We assume that

- □ The pen moves in a cycloid fashion with reference to a sequence of shifting virtual baselines.
- Local baseline changes as the pen moves its position with respect to the document.
- □ Within a local curve segment, we consider that the baseline remains unchanged.
- □ The locus of the pen maintains a proportional distance from the local center point (*focus*) to the local baseline (*directrix*).
- Oscillation theory of handwriting (Hollerbach, 1978)



Signature production model



- This is equivalent to viewing signatures as piece-wise concatenations of small elliptic segments.
- The model imposes one additional constraint that limits the group of feasible second-order curves to smoother ellipses.



Measure of saliency for signatures



How to measure the global saliency of a signature in the form of dynamic curvature without recovering its temporal order.



• Knowing two points P_1 and P_2 and their gradient directions, we know a family of second-order curves that pass both points

$$f(x, y) \equiv l^{2}(x, y) - \lambda t_{1}(x, y)t_{2}(x, y) = 0$$

= $ax^{2} + 2hxy + by^{2} + 2gx + 2fy + c = 0$ (1)

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In the Cartesian coordinate system, the family of a quadratic equation in two variables is always a conic section



For two points on a signature, i.e. for a set of $\{(x_1, y_1), (x_2, y_2), (p_1, q_1), (p_2, q_2)\}$, the range of λ value that corresponds to ellipses

$$0 < \lambda < \frac{4[p_1(x_2 - x_1) + q_1(y_2 - y_1)][p_2(x_1 - x_2) + q_2(y_1 - y_2)]}{(p_1q_2 - p_2q_1)^2}$$

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- We used two large collections of real world documents Tobacco-800 and University of Maryland Arabic datasets.
- Using document context, our multi-scale signature detector achieves 92.8% and 86.6% detection rates for the Tobacco-800 and Maryland Arabic datasets, at 0.3 false-positives per image.



ROC curves for (a) Tobacco-800 dataset and (b) Maryland Arabic dataset.









Examples of detected signatures from Tobacco-800 and their saliency maps.

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Examples of detected signatures from Maryland Arabic dataset and their saliency maps.









Examples of (a) falsely alarms (b) missed signatures





Motivation

Our Tasks

 Signature Detection Using Multi-scale Structural Saliency (CVPR 2007)

Signature Matching for Document Image Retrieval

- Unconstrained Logo Detection in Document Images (ICDAR 2007)
- Software releases



Overview of our approach



- We treat a signature as a shape
- Employ shape matching techniques for signature recognition

□ Shape representations

□ Shape matching algorithms

□ Measure of dissimilarities for shapes (shape distance)









Shape contexts [Belongie *et al.*, 2002] and local-neighborhood-graph [Zheng and Doermann, 2006] constructed from detected and segmented signatures.





Illustration of signature matching using shape contexts and local-neighborhood-graph UMIACS University of Maryland Institute for Advanced Computer Studies



Shape matching evaluation



A query with eight relevant signature instances

Sincerely, Hal

Top eight retrieved in the ranked list

sincerery, Sincerely, Sincerely, Hallie Hal sup Hallie S . Jessup (1)(2)(3)(4) S Ha] Jéssi Research Administrat (5)(6)(8)

Relevant instance outside the top eight in the ranked list

(10)

A signature query example. Among the total of eight relevant signature instances, seven appear in the top eight of the 460element ranked list, giving an average precision of 94.2%, and an R-Precision of 87.5%. The irrelevant signature that is ranked among the top eight is highlighted with a blue bounding box.



Signature matching results



Table 1: Signature retrieval result using different similarity measures.

Similarity	Mean average	Mean R-
measures	precision	precision
D _{sc}	66.9%	62.8%
D _{af}	61.3%	57.0%
D _{be}	59.8%	55.6%
D _{re}	52.5%	48.3%
$D_{se} + D_{be}$	78.7%	74.3%
$D_{sc} + D_{af} + D_{sc} + D_{sc}$	84.5%	80.8%
J _{re}		

Table 2: Signature retrieval result using multiple instances of signatures from the same person in each query.

Number of	Mean average	Mean R-
instances	precision	precision
One	84.5%	80.8%
Two	88.6%	85.2%
Three	91.3%	88.1%





- Create groundtruth on Arabic documents for evaluation
- Preliminary results are very promising on Arabic datasets



References



- S. Loncaric, "A survey of shape analysis techniques," Pattern Recognition, vol. 31, no. 8, pp. 983-1001, 1998.
- 2. R.C. Velkamp and M. Hagedoorn, "State of the art in shape matching," Utrecht University, Netherlands, Tech. Rep. UU-CS-1999-27, 1999.
- 3. H. Chui and A. Rangarajan, "A new point matching algorithm for non-rigid registration," Computer Vision and Image Understanding, vol. 89, nos. 2-3, pp. 114-141, 2003.
- 4. S. Belongie, J. Malik, and J. Puzicha, "Shape matching and object recognition using shape contexts," IEEE Trans. Pattern Anal. Machine Intell., vol. 24, no. 4, pp. 509-522, 2002.
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- 7. T.Y. Zhang and C.Y. Suen, "A fast parallel algorithm for thinning digital patterns," Communications of the ACM, vol. 27, no. 3, pp. 236-239, 1984.





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Logo Detection and Recognition

- enables identification of the source of documents from a given organization
- □ Most studies assume good logo detection and segmentation is available

■ Challenges

Detection is required for any prior to extraction

Extraction is required for any shape based matching/recognition process







Extremely large intra-class variations among logos
 Continuum between graphics, logos and text







Diverse document layouts, scanning qualities, and image degradations on real document datasets







Most work is focused on recognition. Related detection has taken a zone classification approach

 <u>S. Seiden, M. Dillencourt, S. Irani, R. Borrey, and T. Murphy.</u> <u>Logo detection in document images. ICISSST, pages 446–449,</u> <u>1997.</u>

□ Applies XY Cuts, Uses Rule based Zone classification

- <u>T. Pham. Unconstrained logo detection in document images.</u> <u>Pattern Recognition, 36(12):3023–3025, 2003.</u>
 - ❑ Computes the spatial density on regions using fixed-width window, Experiments used synthetic images created by embedding logos from UMD logo database on blank pages



Claim #1



Documents exists where *spatial* segmentation of Logos, Signatures and Stamps is not an option!

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- Considering the more general problem of <u>Detection</u> (as opposed to segmentation->classification)_allows us to integrate identification and extraction, and possibly recognition
- The concept has successfully been applied to:

- Guangyu Zhu, Yefeng Zheng, David Doermann and Stefan Jaeger. Multi-scale Structural Saliency for <u>Signature Detection</u>. (CVPR 2007).
- □ *Guangyu Zhu, Stefan Jaeger and David Doermann. A Robust <u>Stamp</u> <u>Detection</u> Framework on Degraded Documents. SPIE 2006.*





In the context of document image retrieval,

- □provide complete formulation of logo detection
 and extraction
- provide evaluation metrics that quantitatively measure the quality of detected and extracted logos
- □Testing on large, live document collections



Our approach



- A joint formulation for logo detection and extraction using a boosting strategy across multiple image scales
- At an initial coarse scale, a trained Fisher classifier performs an initial classification using features from document context and connected components



Multiscale Detection



Each logo candidate region is further classified at successively finer image scales by a cascade of simple classifiers



The overall classifier is a strong learner, even if each individual classifier is in fact a weak learner

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■ How can we explore document context for logo detection?



Clustering result of logo positions using k-means (k = 3)

• We define context distance as

$$D_c(P) = \min_{i \in \{1, 2, \cdots, k\}} (|p_x - c_x^i| + \lambda |p_y - c_y^i|)$$

■ We select a compact list of features for classification

Context Distance	Area	Symmetry
Spatial Density	Aspect Ratio	Text Uniformity





- Logos and non-logos are not linearly separable given the large variations involved
- Given the feature set, we can use Fisher linear discriminant analysis to get the best subspace for raw classification
- Boosting further improves both detection accuracy and precision $w_o = S_W^{-1}(m_1 - m_2)$





- We use tobacco-800, a large public dataset that consists of 1290 real-world documents (full dataset 49 million pages)
- Use accuracy and precision as evaluation metrics

 $Accuracy = \frac{\# \text{ of correctly detected logos}}{\# \text{ of logos in groundtruth}}$

 $Precision = \frac{\# \text{ of correctly detected logos}}{\# \text{ of detected logos}}$

■ Detection is at least > 75% and < 125% pixel are overlap

(dete) Summary of logo detection performance on the Tobacco-800 dataset AMI

2006)

	Accuracy	Precision
Improved spatial density [9]	39.3%	32.1%
Fisher classifier only, <i>i.e</i> ., $ S = 1$	59.2%	41.7%
Multi-scale approach with S = 2	57.0%	68.1%
Multi-scale approach with $ S = 3$	84.2%	73.5%







Examples of correctly detected logos from Tobacco-800







(b) Non logos

Examples of incorrectly detected logos

Examples of missed logos





- Proposed a multi-scale approach to logo detection and extraction approach (priori to retrieval)
- The cascade of classifiers at multiple scales is very effective in pruning the data points along the optimal projection direction given by the Fisher classifier
- Fisher linear discriminant analysis and boosting across image scales provide basis for good generalization





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Software releases



- Signature detection and logo detection code are released as Doclib add-on modules
- Production test on 32,000+ documents
- Signature matching and logo matching expected





Unique characteristics of stamps

- Unstable and unpredictable patterns in documents
- □ Outliers and occlusions are typical
- Much lower spatial density compared to logo
- Stamp instances appear as weaker regions within a full spectrum of background – text, figures, tables, watermark
- Not generally valid to assume its location within the source





Motivations

- Treat stamps as regions with analytic shaped contours in noisy documents
 - All the stamps in our Arabic document databases are either elliptic or rectangular objects
 - Consider circular stamps as a special case of elliptic stamps.
- Our proposed stamp detection framework is based on recognizing strongly connected edge patterns
- Adopt the Hough transform voting scheme through efficient rectangle and ellipse parameterizations

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Our stamp detection approach





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Traditional ellipse detection techniques



- Approaches based on Hough transform (HT) and its variants [2-6], RANSAC [7], and fuzzy logic [8-9] generally need to map sets of feature points to a parameter space
 - □ Obtaining the five parameters { x_o , y_o , a, b, θ } of an ellipse is computationally demanding
 - □ This parameterization is also inefficient as in many real problems, we only need to know a subset of these five parameters e.g. {*x*_o, *y*_o, *area*}
- Other approaches including least-square fitting [10] and genetic algorithm [11] require pre-processing and are not robust against outliers/occlusions and noise



Traditional rectangle detection technique

Existing approaches focuses heavily on finding line primitives and symmetric peak patterns in the Hough transform parameter space [15-18]



Ellipse detection method using pairs of edges





Tangent line passing E_1 : $t_1(x, y) \equiv p_1(x - x_1) + q_1(y - y_1) = 0$ Tangent line passing E_2 : $t_2(x, y) \equiv p_2(x - x_2) + q_2(y - y_2) = 0$ Line E_1E_2 : $l(x, y) \equiv (y_1 - y_2)x + (x_2 - x_1)y + x_1y_2 - x_2y_1 = 0$

Define a quadratic function f(x, y) as

$$f(x, y) \equiv l^{2}(x, y) - \lambda t_{1}(x, y)t_{2}(x, y) = 0$$
 (1)





The quadratic function f(x, y) represents the family of 2ndorder curves that pass points E_1 and E_2 and tangent to lines $t_1(x, y)$ and $t_2(x, y)$.



Ellipse detection method using pairs of edges



• We can rewrite the quadratic function f(x, y) in the canonical form of (2)

$$f(x, y) \equiv l^{2}(x, y) - \lambda t_{1}(x, y)t_{2}(x, y) = 0$$
$$= ax^{2} + 2hxy + by^{2} + 2gx + 2fy + c = 0$$
(2)

For any two connected edges, i.e. for a given set of $\{(x_1, y_1), (x_2, y_2), (p_1, q_1), (p_2, q_2)\}$, parameters *a*, *b*, *c*, *f*, *g*, *h* in equation (2) above can be simply mapped as first-order linear functions in λ .





 $\begin{aligned} a(\lambda) &= (y_1 - y_2)^2 - \lambda p_1 p_2 \\ b(\lambda) &= (x_2 - x_1)^2 - \lambda q_1 q_2 \\ c(\lambda) &= (x_1 y_2 - x_2 y_1)^2 - \lambda (p_1 x_1 + q_1 y_1) (p_2 x_2 + q_2 y_2) \\ f(\lambda) &= (x_1 y_2 - x_2 y_1) (x_2 - x_1) + \lambda [q_1 (p_2 x_2 + q_2 y_2) + q_2 (p_1 x_1 + q_1 y_1)]/2 \\ g(\lambda) &= (x_1 y_2 - x_2 y_1) (y_1 - y_2) + \lambda [p_1 (p_2 x_2 + q_2 y_2) + p_2 (p_1 x_1 + q_1 y_1)]/2 \\ h(\lambda) &= (y_1 - y_2) (x_2 - x_1) - \lambda (p_1 q_2 + p_2 q_1)/2 \end{aligned}$

For a given pair of edges with their respective gradient directions, the ellipse is uniquely parameterized by only one parameter λ.



Ellipse detection method using pairs of edges



■ The center of the ellipse is given by:

$$x_{o}(\lambda) = \frac{h(\lambda)f(\lambda) - b(\lambda)g(\lambda)}{a(\lambda)b(\lambda) - h^{2}(\lambda)}$$
$$y_{o}(\lambda) = \frac{h(\lambda)g(\lambda) - a(\lambda)f(\lambda)}{a(\lambda)b(\lambda) - h^{2}(\lambda)}$$

■ The area of the ellipse can be derived as [12]:

$$Area(\lambda) = \frac{\pi |d(\lambda)|}{\sqrt{a(\lambda)b(\lambda) - h^{2}(\lambda)}}$$

where
$$d(\lambda) = \frac{a(\lambda)f^{2}(\lambda) + b(\lambda)g^{2}(\lambda) - 2f(\lambda)g(\lambda)h(\lambda)}{a(\lambda)b(\lambda) - h^{2}(\lambda)} - c(\lambda)$$

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- The set of distinct value λ can also be effectively bounded by considering its range that corresponds to ellipses in the quadratic function f(x, y).
- The range of meaningful λ value that corresponds to ellipses is given by [13]

$$0 < \lambda < \frac{4[p_1(x_2 - x_1) + q_1(y_2 - y_1)][p_2(x_1 - x_2) + q_2(y_1 - y_2)]}{(p_1q_2 - p_2q_1)^2}$$





- Let *D* be the number of distinct values in one dimensional parameter space and *E* the number of selected edge points
- Classic Hough transform based ellipse detection [14] runs in O(ED³), using orientation information of single edge points
- Our approach exploits the first-order derivative (gradient) of a pair of edges, which reduces the running time from O(ED³) to O(E²D)
- Since we consider only those edge pairs that are from the same connected component of finite bounded length, further reducing the computation from O(E²D) to O(ED)







Region of a sample image

Strength of edge gradient







Strong edges

Orientation of edge gradient







Top 10 candidates in the 3-D parameter space in ellipse center and area, i.e. (x_o , y_o , area)

(68, 238, 11313),	score = [5485509]
(56, 202, 6464),	score = [501958]
(52, 226, 8080),	score = [431456]
(72, 206, 8080),	score = [352608]
(84, 266, 6464),	score = [278291]
(84, 210, 6464),	score = [260775]
(44, 222, 8080),	score = [247448]
(28, 270, 3232),	score = [241991]
(40, 202, 4848),	score = [224263]
(76, 230, 9696),	score = [215384]







Jakarta International School

March 11, 2003

Letter of Recommendation for Gustavo Helman

The Middle School of Jakarta International School currently serves the needs of 580 students from over fifty-five nationalities. It is a demanding work environment in which administration, faculty, students, and parents possess high expectations. In my capacity as the Middle School Principal, I supervised Gustavo Helman during the past eighteen months.

Gustavo possesses excellent teaching strategies balanced with strong knowledge of curriculum. Gustavo is a proven teacher of Modern Languages. During his time at JIS, Gustavo taught Spanish and one section of Japanese this year. Gustavo is well schooled in the proficiency-based approach to teaching modern languages. He is a very intelligent and a deep thinker relative to the art and science of teaching.

His style with students is warm and friendly, and he possesses high expectations in class. The atmosphere in his classroom is positive. He has involved students in a variety of valuable projects and assignments. I appreciate his approach to the teaching of Spanish and believe the classroom environment he creates is very conducive for learning.

Over the past several years, the Middle School Modern Languages department has actively revised curriculum. They have worked to articulate their curriculum in a set of unit planners with clearly described outcomes, skills, assessments, and activities. Gustavo has contributed strongly to this process. His technological skills combined with his strong organizational skills and knowledge of teaching has assisted colleagues in this area. His work ethic is strong and he presents himself professionally.

Gustavo pursues professional development opportunities. He is in the process of earning a doctorate, no small feat while teaching full time. In addition, he actively involves himself on the academic side of the profession. He presented a workshop at last year's EARCOS Teachers Conference and he is scheduled to present again, later this month, at the next ETC in Bangkok. He must be commended for his eagerness to pursue professional development opportunities.

Gustavo is departing Jakarta International School after two years for personal reasons. He has proven to be a solid contributor to our school-and. I have no doubt that he will positively contribute to other organizations in the future.



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Do you have handwritten notes ?

... and don't need them anymore?

Well, bring them to us - we pay for it!

The Language and Media Processing Laboratory (LAMP) is collecting handwritten notes or annotations from classes, lectures, conferences, workshops, etc. for research in document image analysis. We are searching for notes written in the following scripts:

Arabic, Armenian, Burmese, Chinese, Cyrillic, Devanagari, Ethiopic, Greek, Hebrew, Japanese, Korean, and Thai.

Your notes may contain diagrams, tables, equations, and figures. Printed documents with a significant amount of handwritten annotations are also useful for us. However, the documents should not contain any personal information or names of individuals.

Since we have different needs for each script and also upper limits as to how many pages a writer can provide, you may contact us or send us some samples in advance to see if your data meets our requirements. If it does, you will receive \$5.00 for every 10 pages you provide by simply handing over your notes and allowing us to use them for our research. We would also pay the shipping charges for sending handwritten notes to us from friends in your home country.

Contact:

Dr. Stefan Jaeger, Dr. David Doermann Laboratory for Language and Media Processing (LAMP) 3453 A.V. Williams Building, University of Maryland, College Park Phone: 301-405-0125 or 1767 Email: {jaeger, doermann}@urmiacs.umd.edu















Capability to detect multiple stamp instances











Capability to detect stamp instances in diverse backgrounds

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Experiment



Test Databases	Total Images	Images with The Retrieved Stamp
Database 1	436	92 (Circular)
Database 2	193	68 (Elliptic)
Database 3	287	102 (Rectangular)







- The stamp detector proves to be robust against outliers and occlusions in degraded documents using only limited a priori information
- The speed of detection is 2-3 seconds on 2000×2500 pixel images. Improvements can reduce the running time further by carefully constraining the feature space
- Production retrieval tests on real Arabic document databases, each with about 5000 binary images.
- It can be used as real-time shape detectors in video
- We are also working on robust techniques that are able to achieve auto recognition of logos/stamps





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☐ Page Layout Similarity

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