CLEAT:

A <u>CL</u>assification, <u>Enhancement and</u> <u>Analysis Toolkit</u> for Heterogeneous Document Image Collections

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Who are we? LAMP History

- Began in 1996 with a focus on documents
- Produced 9 PhD (2 more expected in 2007)
- Over 200 scientific publications
- Almost 50 Students (Undergrad-Graduate)
- Numerous Technology Transfer Opportunities



Mission

To conduct research and education in analysis and processing of multimedia information sources including documents, images and video, to develop natural language tools for real world applications, and to foster collaboration in these areas between researchers at the university and representatives of government agencies and industry





Outreach

- Bi-Annual SDIUT Conference
 - Soon to be included in Google Books Project
- Host of workshops and short courses
- Editorial Office of IJDAR
- Data Collection and Evaluations
- LAMP Seminar Series
- Chairing Program Committee for ICDAR 2007
- Organizing Arabic OCR competition at ICDAR'07



Research Focal Areas

- Document image analysis
 - Providing fundamental tools for the enhancement summarization, navigation, indexing and retrieval in document image databases
- Content based video analysis
 - Providing access to video content through extraction, structure representation, classification, visualization and indexing
- In General
 - Ability to access large heterogeneous collections of material
 - Adaptable systems OCR, MT
 - Low density to resource poor languages
 - Enhancing low quality input document images, OCR



Intelligence Value Estimation

- How can we take <u>large, noisy</u>, <u>unstructured, heterogeneous</u> collections of image and video data to:
 - Mine the nuggets?
 - Bubble the important things to the top?
 - Provide tools for Information Discovery?



Challenges We Face....

- An overwhelming number of documents
 - Only a small fraction will ever be seen
- Huge variations in types, qualities and "value"
- Documents value diminishes with time
- We need to bring relevant documents to the top of the stack







Approach

- Build robustness to noise into algorithms
 - Train noise as its own class
 - Integration of recognition and segmentation
- Provide mid level tools to organize collections
 - Genre Classification
 - Logo, Stamp and Signature Detection/Recognition
- Focus on Ranking rather then "conversion"
 - Page Layout Similarity
- Provide tools necessary for efficient research and evaluation
 - Datasets
 - GEDI Groundtruth and Evaluation



Project Overview



Task Objectives

- Task 1:Data Collection
- Task 2:Ground Truthing
- Task 3:Evaluation Framework
- Task 4:Evaluation and Visualization Tool
- Task 5:Page Classification Module
- Task 6:Enhancement Module
- Task 7: Layout Analysis Module
- Task 8: Content Labeling module

| Task 9: | Evaluation |
|----------|------------|
| Task 10: | Training |



Performance Goals

| Task | Performance Goal |
|-------------------------------|---|
| Page Classification | 80% precision across all three classes |
| Enhancement | 10-30% increase in accuracy of downstream processes – segmentation, detection |
| Layer Separation | 90% coverage at the pixel level |
| Segmentation (Print and Hand) | 85% using implementation of existing methods |
| Logo and Stamp Detection | 75% precision at 85% recall |
| Signature Detection | 75% precision at 85% recall |





Data Collection and Evaluation

| Туре | Number | |
|--|--------|--|
| Class 1: Traditional Document Images | 9000 | |
| Class 2: Camera captured, Text in Scene, and Color documents | 500 | |
| Class 3: Non-document Images | 500 | |
| Genre | Number | |
| Forms, Drawing, Tables | 1000 | |
| Business Documents, Memos, Letters | 2500 | |
| Journal and Conference Papers, Articles | 2500 | |
| Newsletters, Flyers | 1000 | |
| Structured Documents – phone books, dictionaries | 1000 | |
| Handwritten | 1000 | |
| Foreign Language – handwritten and machine printed | 1000 | |
| Highly Degraded | 500 | |
| Mixed Annotation | 2000 | |





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New Data



- 25,000 pages ground truthed to the zone level
- Sampled from the Tobacco Litigation Corpus of 49 Million pages



25,000 pages ground truthed

| | DOCS | PAGES | | | |
|-------------------|------|-------|----------------|------|------|
| dt_calendar | 44 | 90 | dt_email | 973 | 1151 |
| dt_photograph | 227 | 461 | co_tables | 1049 | 1980 |
| dt questionnaire | 188 | 461 | dt_form | 1582 | 2265 |
| dt bibliography | 175 | 530 | co_foreign | 1669 | 2300 |
| dt periodical | 479 | 693 | dt_notes | 2288 | 2925 |
| dt list | 405 | 710 | co_illegible | 2598 | 3983 |
| dt advertisement | 519 | 894 | dt_graphic | 2061 | 4307 |
| dt_newspaper | 688 | 921 | dt_letter | 3145 | 4601 |
| co fax | 830 | 1150 | dt_report | 2213 | 4604 |
| | 620 | 1150 | dt_memo | 2762 | 4611 |
| co_urawings | 030 | 1150 | co_handwritten | 4894 | 6903 |

co_marginalia

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17251



DocLib Architecture

Efficient Technology Transfer

- software compatibility
- balance of academia, governemnt, and industry needs
- common framework for document processing

Scalability

- rapid prototyping of new methods
- simple algorithm comparison

Robustness and Stability

- high quality standards
- platform-independence
- accommodation of frequently changing requirements



DocLib Status

- Core DocLib components matured and stable (in use by a variety of government installations)\
- Addons being integrated/implemented, primarily by developers
- Freely available to government researchers
- Core supported on Solaris, Linux and Windows



Core vs Add-ons

- Core components are loosely defined as necessary building blocks for ANY document analysis process
- Addons are tools and applications for specific types of analysis

We try to put as few constraints on the representations as possible.



Image Factory



Design Factors:

- Image Type objects are static/singleton objects created on startup
- DLImageFactory is a static/singleton object
- Image Type objects registers itself with the DLImageFactory during startup
- DLImageFactory keeps a list of supported Image objects as each image type calls the register function
- Additional image types can be plugged into DOCLIB without modifying existing DOCLIB code.



DocLib Architecture

DocLib's architecture rests on two pillars:





Document Hierarchy





Recent Modules

- Thinning
- Rotation
- Deskewing
- XML i/o
- Degradation
- OCR Scansoft interface (Windows)
- Docstrum
- Logo detection
- Signature processing
- A MUL

- LogoDetect
- TokenMatch
- Machine vs. Handwritten
- Jargon
- Text Line Detection

XML Output Extension

<?xml version="1.0" encoding="UTF-8" ?> <!-- GEDI is developed at Language and Media Processing Laboratory, University of Maryland. --> <GEDI xmlns="http://lamp.cfar.umd.edu/GEDI" version="1.0"> <USER name="Elena" date="Sun, 14 Oct 2007 8:28 PM" /> <DL_DOCUMENT src="aaa27e00.tif" docTag="xml" NrOfPages="2"> <DL_PAGE gedi_type="DL_PAGE" src="aaa27e00.tif" pageID="1« width="2560" height="3296"> <DL_ZONE gedi_type="STAMP" id="None" col="1174" row="495" width="447" height="132" /> <DL ZONE gedi type="LOGO" id="None" col="274" row="569" width="346" height="159" contents="" /> <DL_ZONE gedi_type="MACHINEPRINT" id="None" col="647" row="626" width="1372" height="105" contents="" /> <DL ZONE gedi type="MACHINEPRINT" id="None" col="2410" row="2479" width="511" height="110" orientation="-1.6295521495106193" contents="" /> </DL PAGE> </DL DOCUMENT>

Technical Presentations

- Page Segmentation (and rule line separation)
- Logo Detection and Recognition
- Signature Detection
- Stamp Detection
- Document ID/Script ID
- Page Layout Similarity
- Video Research
 - Tracking and Analysis of People
 - Video Content Classification



Page Layer Segmentation

- Document image generation model
 - A document consists many layers, such as handwriting, machine printed text, background patterns, tables, figures, noise, etc.





Motivation

- Document analysis has been viewed as a solved problem in clean, well-constrained documents.
- However, the performance degrades significantly when a small amount of noise is introduced.
- We further separate handwriting from machine printed text.



Page Segmentation for Noisy Documents





* Docstrum page segmentation technique is used



Overview of Our Approach

- Segment the document to word level using connected component based, bottom-up approach.
- Classify each segmented block into noise, handwriting or printed text, based on extracted features and the Fisher classifier.
- Using MRF (Markov Random Field) to refine the classification result.



Feature Extraction and Selection

• We extracted 140 features and 31 of them are selected to train the

| | Usage description | Dimensio | Selected |
|---------------------------|-----------------------------------|----------|----------|
| Structural | Region size, connected components | 18 | 9 |
| Gabor filter | Stroke orientation | 16 | 4 |
| Run-length histogram | Stroke length | 20 | 5 |
| Crossing counts histogram | Stroke complexity | 10 | 6 |
| Co-occurrence | Texture | 16 | 2 |
| 2×2 gram | Texture | 60 | 5 |
| Total | | 140 | 31 |



Classification Results with Fisher Classifier





Using Context

- The results are reasonable with a few misclassification due to the overlapping of different classes in the feature space.
- Context can be used to refine classification results further
 - Words of printed text tend to lie on the same line.
 - Noise block are likely to overlap each other.
- This kind of local dependency among neighboring components can be described with the Markov Random Field (MRF).



Clique Definition

- Low level MRF is defined on regular lattice (pixel)
- Our high level MRF is defined on a graph.
 - After defining the connection between word blocks, a graph is generated.
 - Neighborhood of MRF is defined on the graph.
- Clique C_p for printed text



• Clique C_v for Noise





MRF Postprocessing Example

Printed text Handwriting Noise





Before MRF-based postprocessing

After MRF-based postprocessing
- Data Collection
 - 318 documents provided by the tobacco industry.
 - 94 documents of testing, the other for training.

| | #Total | Percen tage | Before Post- processing | | After Post- processing | |
|-------------------|--------|----------------|----------------------------|-----------|---------------------------|-----------|
| | | | Accuracy | Precision | Accuracy | Precision |
| Printed Words | 19,227 | 66.9% | 95.9% | 99.5% | 98.0% | 99.7% |
| Handwritten Words | 701 | 2.4% | 93.2% | 62.9% | 93.0% | 83.3% |
| Noise Blocks | 8,802 | 30.7% | 96.8% | 93.0% | 98.6% | 96.0% |
| Total | 28,730 | 100% | 96.1% | N/A | 98.1% | N/A |



Application to Page Segmentation

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After enhancement



Before enhancement

Rule Line Detection Example





Model-based line detection result

After rule line removal



- Database
 - 168 Arabic documents with a total of 3,870 groundtruthed lines.
 - 100 images for the training of the HMM model, 68 images for the testing.
- Quantitative evaluation (evaluation metrics are discussed in the paper in detail).

| | Groundtruthed Lines | Detected Lines | Correct | Partial Correct | Missed | False Alarm |
|--------------|---------------------|----------------|---------------|-----------------|----------|-------------|
| Training Set | 2,274 | 2,319 | 2,212 (97.3%) | 56 (2.5%) | 6 (0.3%) | 51 (2.2%) |
| Test Set | 1,596 | 1,631 | 1,545 (96.8%) | 49 (3.0%) | 2 (0.1%) | 37 (2.3%) |

QUANTITATIVE EVALUATION OF THE RULE LINE DETECTION RESULT.



Technical Presentations

- Page Segmentation (and rule line separation)
- Signature Detection
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- Document ID/Script ID
- Page Layout Similarity
- Video Research
 - Tracking and Analysis of People
 - Video Content Classification

Metadata Extraction



Problem Statement

Given a large heterogeneous document image database, we are facing a few very challenging problems

 How can we retrieve documents authored or approved by a specific individual in unconstrained settings?

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– How can we retrieve documents originating from an organization?



Motivation

- Signatures and logos provide exciting new dimensions for document image mining
- Solution to these problems are also important in document analysis systems in a range of application domains
 - Signature verification and identification
 - Business process automation



Our Tasks

- Two problems are of fundamental interest to general contentbased image retrieval
 - Detection and segmentation
 - Matching
 - Representation
 - Similarity measures
 - Matching algorithms





Overview of our approach

- We treat a signature as a global symbol. Rather than focusing on local features that typically have large variations, our approach aims to capture the structural saliency of a signature by searching over multiple scales
- We consider identifying salient structure and grouping its parts in two separate steps
- Two keys questions we addressed are:
 - How to effectively model off-line signature production under reasonable assumptions without its temporal information
 - What to effectively measure the structural saliency of signatures under such production model





- We used two large collections of real world documents— Tobacco-800 and University of Maryland Arabic datasets.
- Using document context, our multi-scale signature detector achieves 92.8% and 86.6% detection rates for the Tobacco-800 and Maryland Arabic datasets, at 0.3 false-positives per image.





ROC curves for (a) Tobacco-800 dataset and (b) Maryland Arabic dataset.





Examples of detected signatures from Tobacco-800 and their saliency maps.



Examples of detected signatures from Maryland Arabic dataset and their saliency maps.





(b)

Examples of (a) falsely alarms (b) missed signatures



Shape representation





Shape contexts [Belongie *et al.*, 2002] and local-neighborhood-graph [Zheng and Doermann, 2006] constructed from detected and segmented signatures.



Shape matching

Bernfel Bernfe

(e)

(a)

(d)



Illustration of signature matching using shape contexts and local-neighborhood-graph

Shape matching



Shape matching evaluation

A query with eight relevant signature instances

Sincerely, Hal

Top eight retrieved in the ranked list

STRUCTET Sincerely, Sincerely, Hallie S . Jessup 3 2 Research Administrat (5) 6 (X)

Relevant instance outside the top eight in the ranked list

(10)

A signature query example. Among the total of eight relevant signature instances, seven appear in the top eight of the 460element ranked list, giving an average precision of 94.2%, and an R-Precision of 87.5%. The irrelevant signature that is ranked among the top eight is highlighted with a blue bounding box.



Signature matching results

Table 1: Signature retrieval result using different similarity measures.

| Similarity measures | Mean average precision | Mean R- precision |
|-------------------------------------|------------------------|----------------------|
| D _{sc} | 66.9% | 62.8% |
| D _{af} | 61.3% | 57.0% |
| D _{be} | 59.8% | 55.6% |
| D _{re} | 52.5% | 48.3% |
| $D_{sc} + D_{be}$ | 78.7% | 74.3% |
| $D_{sc} + D_{af} + D_{sc} + D_{sc}$ | 84.5% | 80.8% |

Table 2: Signature retrieval result using multiple instances of signatures from the same person in each query.

| Number of instances | Mean average precision | Mean R- precision |
|---------------------|------------------------------|----------------------|
| One | 84.5% | 80.8% |
| Two | 88.6% | 85.2% |
| Three | 91.3% | 88.1% |



Logo Detection and Recognition

- enables identification of the source of documents from a given organization
- Most studies assume good logo detection and segmentation is available
- Challenges
 - Detection is required for any prior to extraction
 - Extraction is required for any shape based matching/recognition process



Challenges

- Extremely large intra-class variations among logos
- Continuum between graphics, logos and text



Challenges

 Diverse document layouts, scanning qualities, and image degradations on real document datasets





Claim #1

 Documents exists where *spatial* segmentation of Logos, Signatures and Stamps is not an option!



Claim #2

- Considering the more general problem of <u>Detection</u> (as opposed to segmentation->classification) allows us to integrate identification and extraction, and possibly recognition
- The concept has successfully been applied to:
 - Guangyu Zhu, Yefeng Zheng, David Doermann and Stefan Jaeger. Multi-scale Structural Saliency for <u>Signature Detection</u>. (CVPR 2007).
 - Guangyu Zhu, Stefan Jaeger and David Doermann. A Robust <u>Stamp Detection</u> Framework on Degraded Documents. SPIE 2006.





Multiscale Detection

 Each logo candidate region is further classified at successively finer image scales by a cascade of simple classifiers



• The overall classifier is a strong learner, even if each individual classifier is in fact a weak learner

Feature selection and extraction

How can we explore document context for logo detection?



Clustering result of logo positions using k-means (k = 3)

$$D_{c}(P) = \min_{i \in \{1, 2, \cdots, k\}} (|p_{x} - c_{x}| + \lambda |p_{y} - c_{y}|)$$

We define context distance as

| Context Distance Area | | Symmetry |
|-----------------------|--------------|-----------------|
| Spatial Density | Aspect Ratio | Text Uniformity |



- We use tobacco-800, a large public dataset that consists of 1290 real-world documents (full dataset 49 million pages)
- Use accuracy and precision as evaluation metrics

 $Accuracy = \frac{\# \text{ of correctly detected logos}}{\# \text{ of logos in groundtruth}} \qquad Precision = \frac{\# \text{ of correctly detected logos}}{\# \text{ of detected logos}}$

 Detection is at least > 75% and < 125% pixel are overlap (determined from shape matching approach – Zhang. PAMI 2006)

Summary of logo detection performance on the Tobacco-800 dataset

| | Accuracy | Precision |
|---|----------|-----------|
| Improved spatial density [9] | 39.3% | 32.1% |
| Fisher classifier only, <i>i.e</i> ., S = 1 | 59.2% | 41.7% |
| Multi-scale approach with S = 2 | 57.0% | 68.1% |
| Multi-scale approach with S = 3 | 84.2% | 73.5% |





Examples of correctly detected logos from Tobacco-800



UN





(a) Over/under-segmented logos











(b) Non logos

Examples of incorrectly detected logos

Examples of missed logos



Challenges in stamp detection

- Unique characteristics of stamps
 - Unstable and unpredictable patterns in documents
 - Outliers and occlusions are typical
 - Much lower spatial density compared to logo
 - Stamp instances appear as weaker regions within a full spectrum of background – text, figures, tables, watermark
 - Not generally valid to assume its location within the source





Our stamp detection approach



Edge Extraction Construct and effectively constraint the feature Space Obtain stamp parameters



Ellipse detection method using pairs of edges



The quadratic function f(x, y) represents the family of 2^{-1} -order curves that pass points E_1 and E_2 and tangent to lines $t_1(x, y)$ and $t_2(x, y)$.





Region of a sample image

Strength of edge gradient





Strong edges

Orientation of edge gradient





Top 10 candidates in the 3-D parameter space in ellipse center and area, i.e. ($x_{o'}, y_{o'}$ area)

| (68, 23 | 8, 11313), | score = | [5485509] |
|---------|------------|---------|-----------|
| (56, 20 | 2, 6464), | score = | [501958] |
| (52, 22 | 6, 8080), | score = | [431456] |
| (72, 20 | 6, 8080), | score = | [352608] |
| (84, 26 | 6, 6464), | score = | [278291] |
| (84, 21 | 0, 6464), | score = | [260775] |
| (44, 22 | 2, 8080), | score = | [247448] |
| (28, 27 | 0, 3232), | score = | [241991] |
| (40, 20 | 2, 4848), | score = | [224263] |
| (76, 23 | 0,9696), | score = | [215384] |





Jakarta International School

March 11, 2003

Letter of Recommendation for Gustavo Helman

The Middle School of Jakarta International School currently serves the needs of 580 students from over fifty-five nationalities. It is a demanding work environment in which administration, faculty, students, and parents possess high expectations. In my capacity as the Middle School Principal, I supervised Gustavo Helman during the past eighteen months.

Gustavo possesses excellent teaching strategies balanced with strong knowledge of curriculum. Gustavo is a proven teacher of Modern Languages. During his time at JIS, Gustavo taught Spanish and one section of Japanese this year. Gustavo is well schooled in the proficiency-based approach to teaching modern languages. He is a very intelligent and a deep thinker relative to the art and science of teaching.

His style with students is warm and friendly, and he possesses high expectations in class. The atmosphere in his classroom is positive. He has involved students in a variety of valuable projects and assignments. I appreciate his approach to the teaching of Spanish and believe the classroom environment he creates is very conducive for learning.

Over the past several years, the Middle School Modern Languages department has actively revised curriculum. They have worked to articulate their curriculum in a set of unit planners with clearly described outcomes, skills, assessments, and activities. Gustavo has contributed strongly to this process. His technological skills combined with his strong organizational skills and knowledge of teaching has assisted colleagues in this area. His work ethic is strong and he presents himself professionally.

Gustavo pursues professional development opportunities. He is in the process of earning a doctorate, no small feat while teaching full time. In addition, he actively involves himself on the academic side of the profession. He presented a workshop at last year's EARCOS Teachers Conference and he is scheduled to present again, later this month, at the next ETC in Bangkok. He must be commended for his eagerness to pursue professional development opportunities.

Gustavo is departing Jakarta International School after two years for personal reasons. He has proven to be a solid contributor to our school and I have no doubt that he will positively contribute to other organizations in the future.



P O Box 1078 JKS Jakarta 12010 Indonesia Telephone: (62-21) 769-2555 Fax: (62-21) 759-08843 http://www.jisedu.org












Demo

Capability to detect multiple stamp instances







Demo

Capability to detect stamp instances in diverse backgrounds

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Software releases

- Signature detection and logo detection code are released as Doclib add-on modules
- Production test on 32,000+ documents
- Signature matching and logo matching expected



Demo





Experiment

| Test Images with | | | | |
|------------------|---------------|-------------------|--|--|
| Databas | Total Imagos | The | | |
| Dalabas | Total Intages | Retrieved | | |
| Database 1 | 436 | 92 (CSitaunap) | | |
| Database 2 | 193 | 68 (Elliptic) | | |
| Database 3 | 287 | 102 (Rectangular) | | |





Script and ImageID

- ScriptID
 - Given a set of handwritten document images, identify the scripts.
 - Dataset: UMD handwritten dataset + Arabic dataset
- ImageID
 - Given an arbitrary image, identify that it is
 - document image
 - image with text
 - Image w/o text
 - Dataset: ~3700 images from Internet.



The Observation

朱省桥边野草花, 写衣巷口夕阳斜。 旧时王谢堂前燕,

已入寻常百姓家。

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The Observation (con't)

- The relationship of connected edges could be used for description;
- The dominant descriptors for different scripts could be different;
- The statistics of the descriptors could be used for discriminating different scripts.



The descriptor

- Fit edges to small lines
- Adjacent lines: encode the relative coordinates w.r.t pivot point.





Yu et al, Object Detection Using Shape Codebook, BMVC 2007

The codebook for the descriptor

- The advantage of the codebook
 - Generic
 - Quantization -> fast
- generate the codebook
 - A large dataset
 - Extract descriptor
 - Cluster the descriptor



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The implementation

- Given a document image
 - Preprocessing
 - Binarize if necessary
 - Skeletonize
 - Clean the image using mathematical morphology.
 - Extract descriptors
 - Extract line segments
 - Compute shape descriptors
 - Quantize the shape descriptors and compute their histogram.



- Train and classify

Result

• Confusion matrix (experimental result, july 2007)

| | Arabic | Chinese | Hindi | Korean |
|---------|----------|----------|----------|---------|
| Arabic | 11 (74%) | 1 | 2 | 1 |
| Chinese | 0 | 10 (77%) | 0 | 3 |
| Hindi | 1 | 1 | 10 (83%) | 0 |
| Korean | 1 | 3 | 0 | 9 (70%) |



Failed examples

Arabic



Chinese



Failure example (Korean)

السير الامر رجاء" بالاعة دارا> أصيب جم خريرون ابراهم رشيد موالير ١٩٦١ المشوب الحدفة الستقل يسكن علة كاريزه جلوسه خارج البأر ببطلقيمت مادتين من قبل المخاص جربولي الهوية نقل علما أنزها الى مستشفل الطواري الدني ولعدها نقل الى مستنفل الطوارة لعسكره • تجمل هوية الفاعلين ويرم الفلم سيري 1261 م محد ليرين الغرب



Image ID

- Determine which class:
 - Text, Image w/text, or image
- Adopt different vision modules
 - For different categories we can adopt different strategy in computer vision
- Improve efficiency
 - Use the category as prior.
- Speedup OCR module in real world environment.



The Challenge

- Images are arbitrary
 - Appearance model cannot be used for the classification.
 - We use the same shape descriptor because the code book is generic.
- Ambiguity
 - "images / text vs images", e.g., Coke can.
 - "doc vs images / text", e.g. "publication cover" usually has figures.



Dataset for ImageID

- Collected form Internet, through search using different keywords
- Manual inspection, removal of duplicate images.

| Page Classification Datasets (Google Image) | |
|---|------|
| Document | 797 |
| Image with Text | 1695 |
| Non-Document | 1275 |
| Total | 3767 |







google_cd_cover_

O.tif















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LBP: Local Binary Pattern

- Define
 - Texture: Joint distribution of center pog_ct given neighbor samplin(g_p (p=0,..., P-1)

$$T = t(g_{c'}, g_{0, \dots, g_{P-1}})$$

• Example



The performance

Confusion matrix

| | Doc | Image w/ | Non doc |
|----------|--------|----------|---------|
| Doc | 0.8557 | 0.1340 | 0.0103 |
| Image w/ | 0.1725 | 0.6011 | 0.2264 |
| Non doc | 0.0444 | 0.1422 | 0.8133 |



The Module

- Input
 - Training: an text file contains a list of training images.
 - Testing: a filename to an image.
- Output
 - Training: an SVM classifier (model.txt)
 - Testing: XML format (JEDI readable) for corresponding input image.
- Performance
 - 700 seconds for 3000 images
 - Similar speed for every image
 - No exceptions and memory leaks



Results – classified as



| SL HEary Parah CHD PARENT CONTRACT | The second lines | 102- | and the statement of the set | SP2. | and the second second second second | |
|--|------------------|------|---|--|---|--|
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Images









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Technical Presentations

- Page Segmentation (and rule line separation)
- Signature Detection
- Logo Detection and Recognition
- Stamp Detection
- Document ID/Script ID
- Page Layout Similarity

Document Ranking

- Video Research
 - Tracking and Analysis of People
 - Video Content Classification



Motivation

- In a large collection of documents (forms, academic papers, handwritten letters, checks, receipts, etc.), most times people need to handle only those with some specific layout.
- **Drawback** of our previous system for document ranking based on layout : training is restarted from beginning each time a new layout comes
- **Reason**: we do not give an explicit definition of layout, the system learns no concept of layout, but image content.
- Proposal: Let the system itself figure out important
 dissimilarities for layout classification.

Layout Examples



Document Representation -- Building blocks

• Text lines extracted by TB library (endpoint coordinates, line orientations)

| | For the year JanDec. 31, 1965, or other lax year beginning | |
|-----------------------------------|---|----------------------------------|
| Label | Cest name and initial (if joint ratum, also give spause's name and initial) Cest name | A57 80 358 |
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Quadrilaterals from text line pairs

• A document := {Quadrilaterals}

| A Contraction of the second se | the year Jan. Dec. 31. 1963, or other tax year beginning | . 1988, anding | 0H5H0 1545-00 |
|--|--|----------------------------------|----------------------------------|
| | 4 Your first name and sector fit joint rebuilt, also give sector 's of | ame and initial) Last name | Nur satisfart and all all and an |
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- Merits:
 - Text line properties (length, orientation) are defined implicitly by their relative contribution to the quadrilateral shape
- Drawbacks:
 - $O(n) \rightarrow O(n^2)$



Quadrilateral Shape Vector

• 5D shape vector



 L_1 , L_2 : text lines

L₄, L₅: diagonals

L₃: midpoints connection line

- Vector uniquely defines the quadrilateral shape
- Text line correspondence guaranteed
- Efficient clustering
- Document represented this way is translation and 180° rotation invariant



Dictionary of Quadrilaterals

- We need to establish correspondences between quadrilaterals so that documents comparison can break down into quadrilateral comparison.
- Clustering in 5D space using range search, each quadrilateral cluster is regarded as a word in the dictionary
- Need a rich dictionary to avoid too many unknowns in a query
- From 101 documents, we built a dictionary with 976 words



Score a query document

• Each document has a signature *S* like



• Each layout class has a relaxed signature *RS* averaged from training samples. (consistency)

• Each classifier has a performance value *P* on validation set. (discriminativity)

0.75 0.8 0.66 0.55 0.7 0.6

• Score of a query against layout class i

$$Score_i = \sum_k F(S_k, RS_{i,k}) * P_k$$

 $C = argmax_i Score_i$



Evaluation Scheme

- Mean Average Precision (MAP) $-P_i = (\sum_{i \le j} P_j) / (\sum_{i \le j} 1)$
- Average Relevance Rank (ARR)

 $-I = (\sum (R_i - (N_t+1)/2)) / (N^*N_t)$

Ri : rank of one wanted testing document.

N : testing size

Nt: wanted testing size

 $-I \in [0, 1-Nt/N)$, the lower the better



Experimental Results

--Confusion Matrix

| 1c 2c | | | | 1 12C | 3c | sym | asym | 1 | 2 | s3 | s4 |
|-------------------|---------------------|----|-----|--------------|----|-----|------|----|-----|-----|----|
| 2c | (113) | 87 | 8 | 16 | | 2 | | | | | |
| 4 | (144) | | 133 | 4 | 1 | | 5 | 1 | | | |
| (4 | .2c .31) | 9 | 168 | 246 | | | 8 | | | | |
| 3 | c 23) | | | | 23 | | | | | | |
| 2c_ (6 | asym ട്) | | | | | 3 | 3 | | | | |
| 2c2c | _ asym 5) | | 1 | | | | 44 | | | | |
| Cla (62 | ass1 2) | | | | | | | 62 | | | |
| Cla (26 | ass2 64) | 3 | | | | | 2 | 3 | 230 | 2 | 24 |
| Cla (12 | ass3 21) | 1 | | | 1 | | | 13 | 2 | 101 | 3 |
| 🦻 Cla | ass4 | | | | 1 | | 1 | 17 | 27 | - 7 | 52 |
Experiments – ARR Results

| | Original | Dyn-NN | Text-V | Pair_V |
|-----------|----------|--------|--------|--------|
| 1c | 0.450 | 0.011 | 0.038 | 0.043 |
| 2c | 0.062 | 0.010 | 0.324 | 0.087 |
| 3с | 0.028 | 0.0002 | 0.504 | 0.013 |
| 1r2c | 0.148 | 0.063 | 0.245 | 0.105 |
| 1r1r2c | 0.159 | 0.010 | 0.103 | 0.045 |
| 1r2c2c | 0.121 | 0.067 | 0.186 | 0.139 |
| 2c_asym | 0.137 | 0.025 | 0.360 | 0.039 |
| 2c2c_asym | 0.025 | 0.0002 | 0.097 | 0.010 |
| class1 | 0.009 | 0.002 | 0.133 | 0.003 |
| class2 | 0.398 | 0.011 | 0.004 | 0.075 |
| class3 | 0.160 | 0.026 | 0.146 | 0.090 |
| class5 | 0.302 | 0.056 | 0.103 | 0.085 |





















Image and Video Research

- Surveillance Video
 - People Tracking
 - Appearance Modeling
 - Pose Estimation
- Partial Image Matching
 - Robust to changes in view point
 - Able to match partial images









Forensic Image Search

- Consider a "search pack" which contains a "model" of a set of images of interest
- Hard Drive is searched and produces a report without revealing the search content



High Speed Image Classification

- The purpose of this project
 - To create a new content based image retrieval (CBIR) algorithm that will remove some of limitations of the state of the art
- The task description
 - A user provides a set of training images belonging to several known categories (called SearchPak) and a set of test images.
 - For a test image, the user wants to know if it is similar to one of the SearchPak categories and otherwise classify it as "non-SearchPak image" or "junk image".



Using what features?

- Histogram, correlogram of color, edge, texture...?
- A good feature: keypoint
 - A feature based on neighborhood edge histogram that is scale and rotation-invariant
 - Independent of color
 - Approach is called SIFT (Scale Invariant Feature Transform)
 - Captures salient visual information
- Groups of keypoints are powerful description of objects in images and video







What Can we do with Keypoints?

- Searching (Video Google, Zisserman Oxford, Kmeans clustering)
- Mining (find most significant objects)
- Indexing (find anchor and cluster frames)
- Browsing
- Logo search
- Near-duplicate detection
- Face detection
- Building detection





Summary

- Focused on Integration with DocLib framework
- Need Software engineering support
- Detailed evaluation and evaluation tools as part of Prototypes.



Possible Research Extentions

- Increasing the speed of processing (software or hardware)
- Script independent word spotting
- Stamp and signature recognition
- Scene text recognition and superresolution in video.
- Word level Script and Language ID

